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# Challenges for Satellite (Scientific) Use of the Spectrum

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*NASA Goddard Space Flight Center*

*Instrument Systems and Technology Division*

*Greenbelt, MD*

*Radio Frequency Spectrum Management Workshop*

*May 24, 2016*

*UPR Mayaguez*



# Signals and Noise

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- One person's noise is another person's signal
- One person's signal is another person's noise



Junk into Art

*Sound Wave*  
Jean Shin



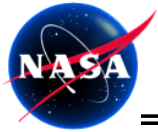
# Science Services

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TABLE 1.1 Science Services

Service	Abbreviation	Description of Service
Earth Exploration-Satellite Service	EESS	Remote sensing from orbit, both active and passive, and the data downlinks from these satellites
International Global Navigation Satellite System (GNSS) Service	IGS	Accurate position and timing data
Meteorological Aids Service	MetAids	Radio communications for meteorology, e.g., weather balloons
Meteorological Satellite Service	MetSat	Weather satellites
Radio Astronomy Service	RAS	Passive ground-based observations for the reception of radio waves of cosmic origin
Space Operations Service	SOS	Radio communications concerned exclusively with the operation of spacecraft—in particular, space tracking, space telemetry, and space telecommand
Space Research Service	SRS	Science satellite telemetry and data downlinks, space-based radio astronomy, and other services



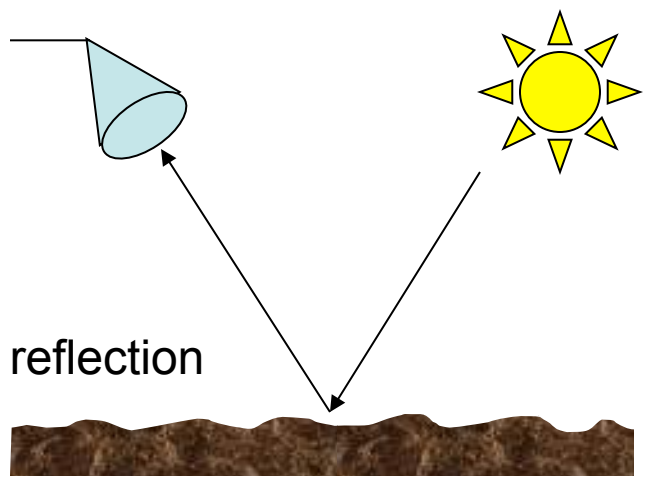
# EESS Evolution

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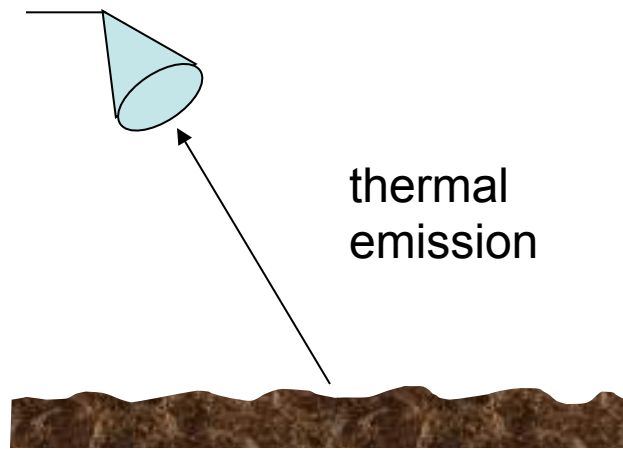
- WARC-71: EESS(communications) established
- WARC-79: EESS(passive) and EESS(active)
- WRC-97: 50-60 GHz (passive) realigned
- WRC-2000
  - >71 GHz realigned
  - >275-1000 GHz stated in footnote
  - 18.7 GHz gained passive allocation
- WRC-07: mandatory out-of-band emission limits
- WRC-11,15 .... >275 GHz allocations



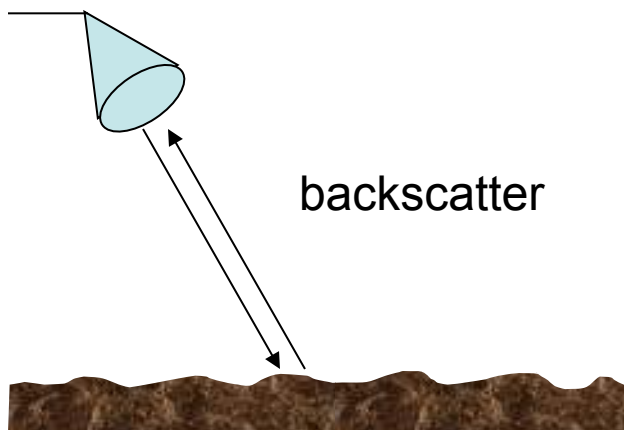
# Types of Sensors



optical cameras and  
scanners

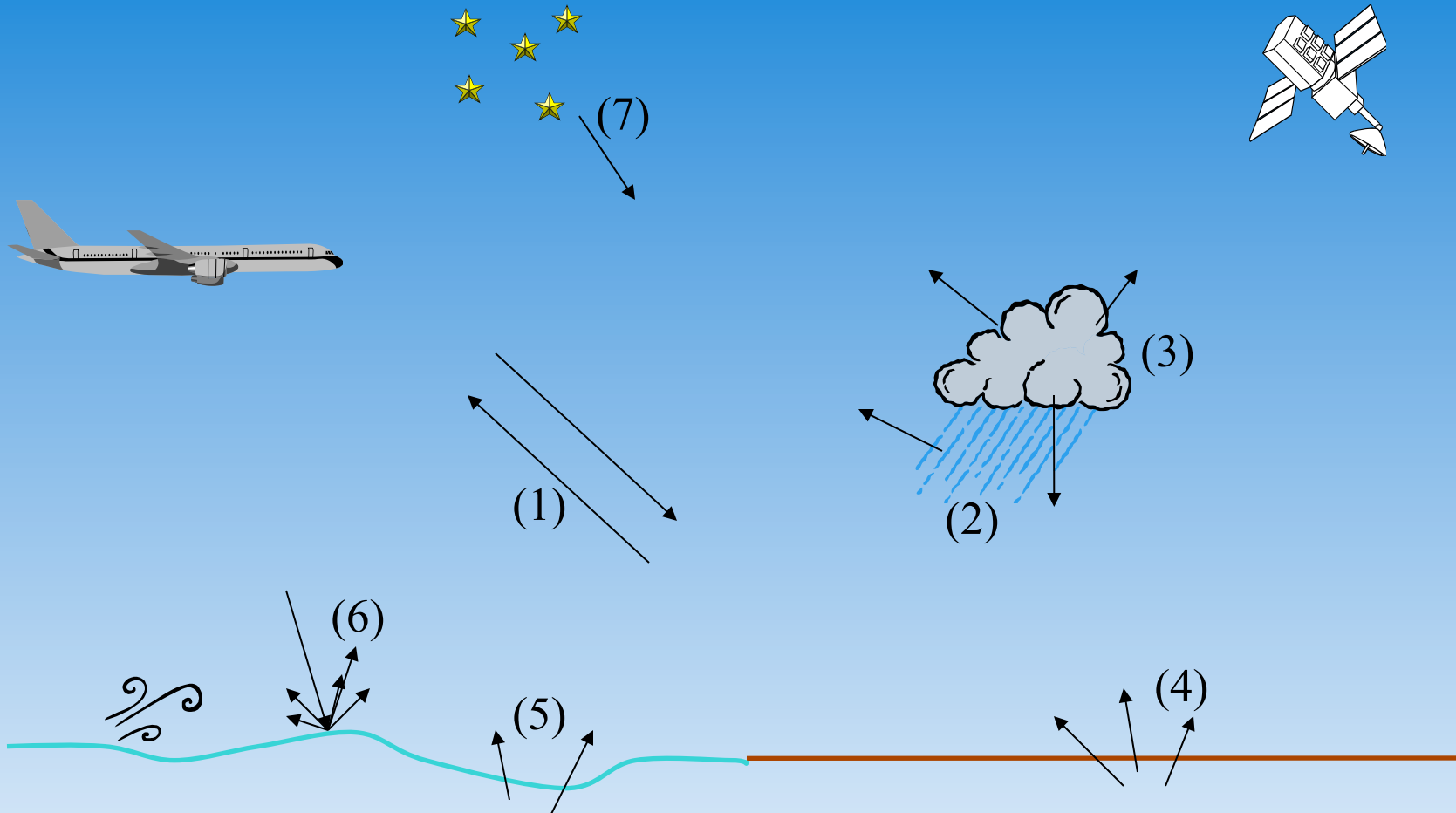


infrared and microwave  
radiometers



radar and lidar

# Natural sources of microwave radiation



(1) atmosphere

(2) rain

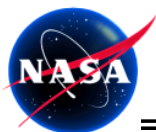
(3) clouds

(4) Land

(5) oceans

(6) scattering

(7) 2.7 K cosmic background



# Ocean Surface Remote Sensing

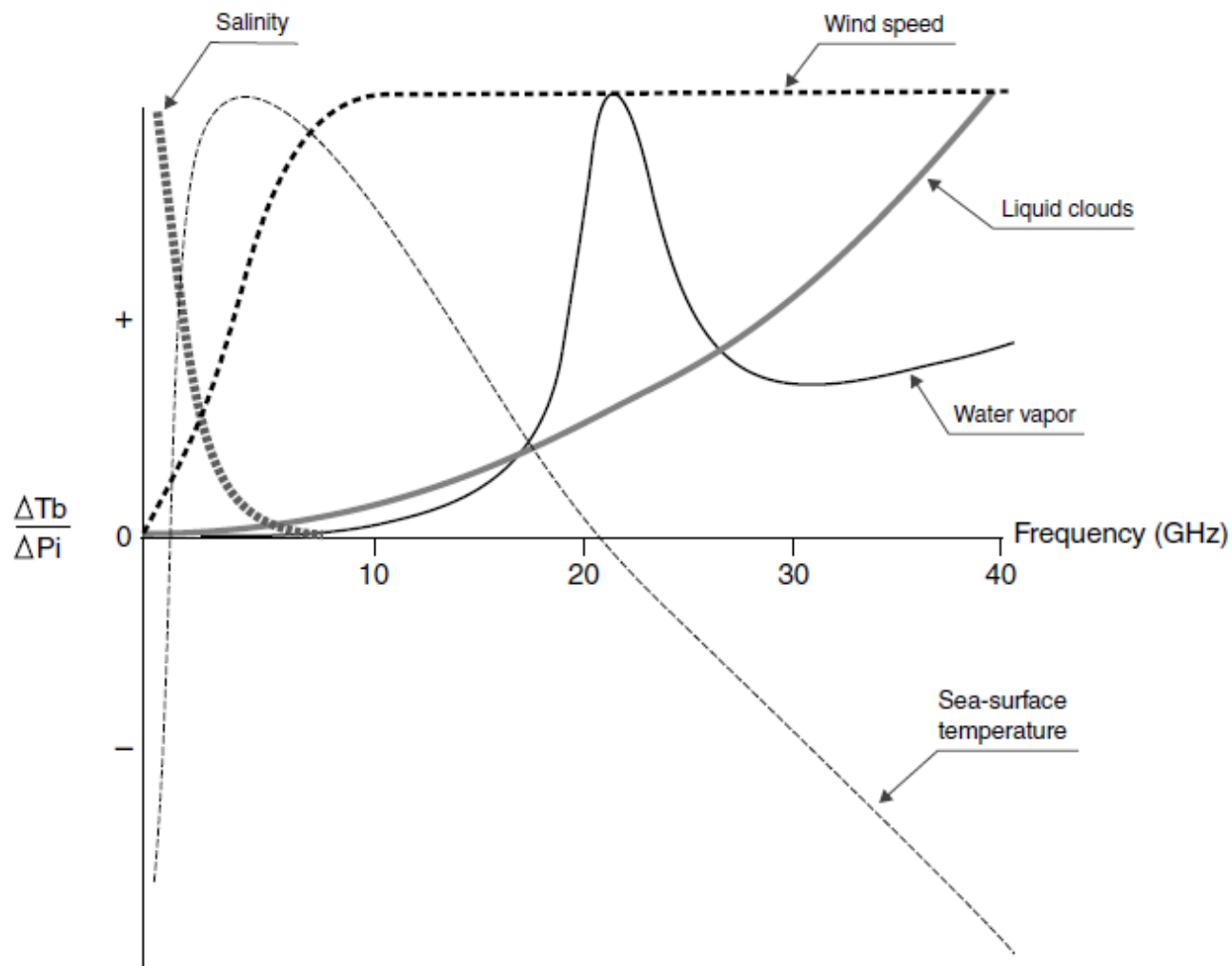


FIGURE 2.11 Relative sensitivity of brightness temperature to geophysical parameters as a function of frequency (over ocean surface).



# Land Area Remote Sensing

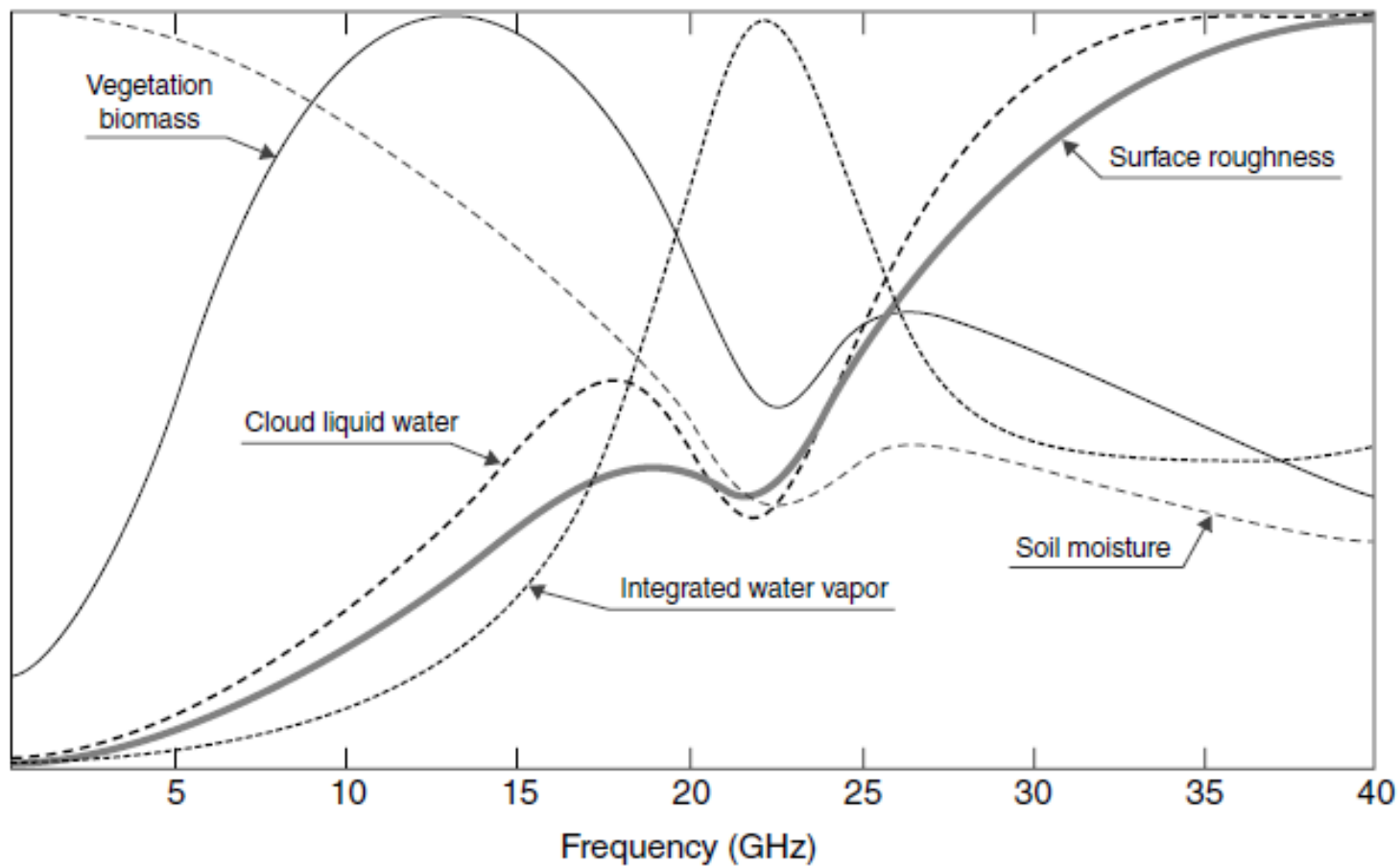
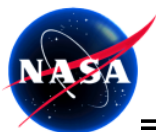
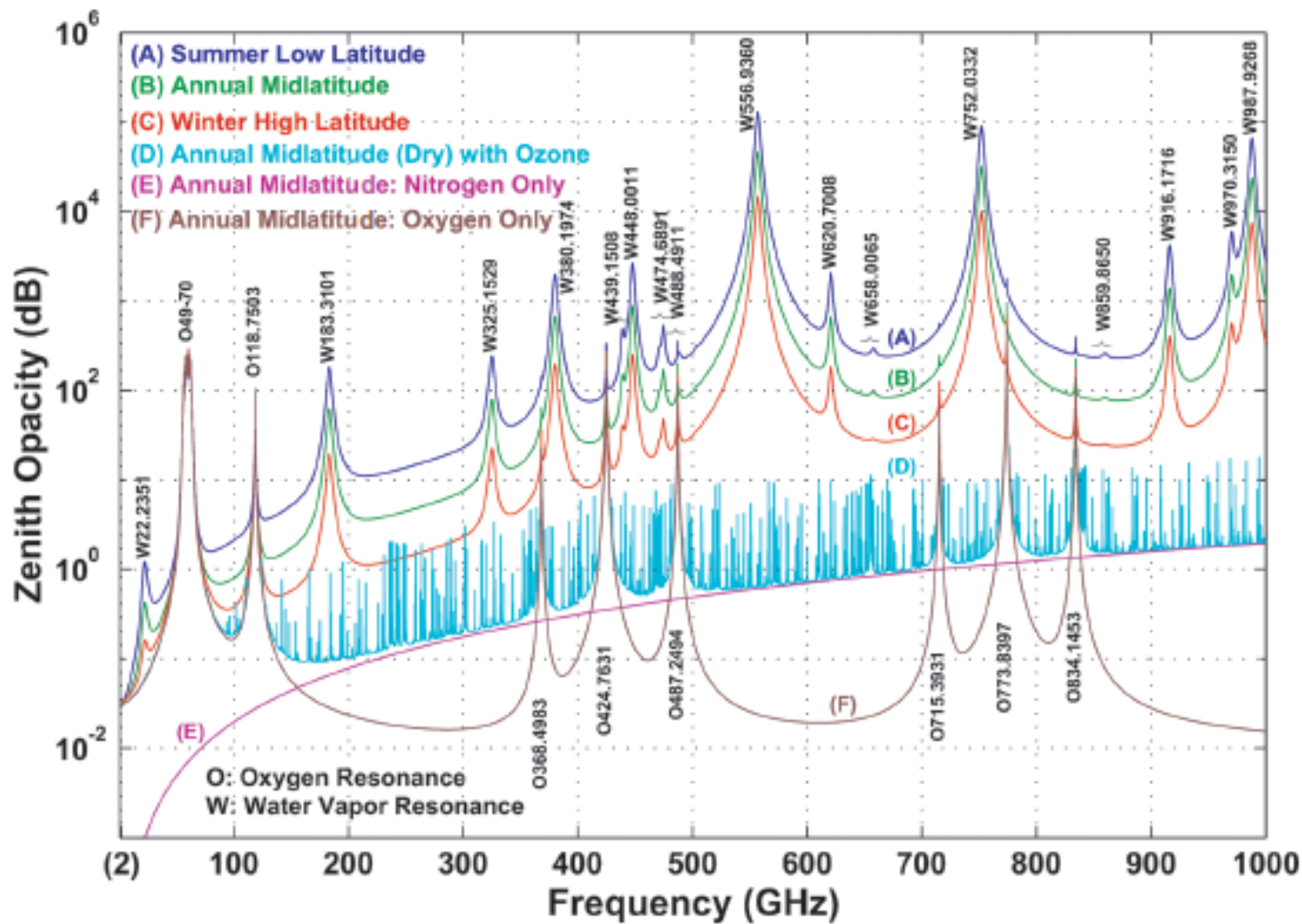


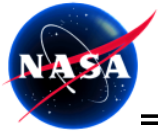
FIGURE 2.10 Relative sensitivity of brightness temperature to geophysical parameters as a function of frequency (over land surfaces).





# Atmosphere to 1 THz





# Major U.S. Passive Sensor Milestones

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- 1972: NASA Nimbus-5 (NEMS and ESMR)
- 1973: NASA Skylab (S-194)
- 1975: NASA Nimbus-6 (SCAMS)
- 1978: NASA Nimbus-7 (SMMR)
- 1978: NOAA TIROS-N (MSU & SSU)
- 1987: USAF DMSP F8 (SSM/I)
- 1991: NASA UARS (MLS)
- 1997: NASA TRMM (TMI)
- 1998: NOAA-15 (AMSU)
- 2002: NASA EOS Aqua (JAXA AMSR-E)
- 2003: NRL Coriolis (WindSat)
- 2003: USAF DMSP F16 (SSMIS)
- 2004: NASA EOS Aura (MLS)
- 2010: NASA Aquarius/SAC-D (CONAE)
- 2011: NASA/NOAA NPP (ATMS)
- 2014: NASA GPM (GMI)
- 2015: NASA SMAP



# EESS Organizations

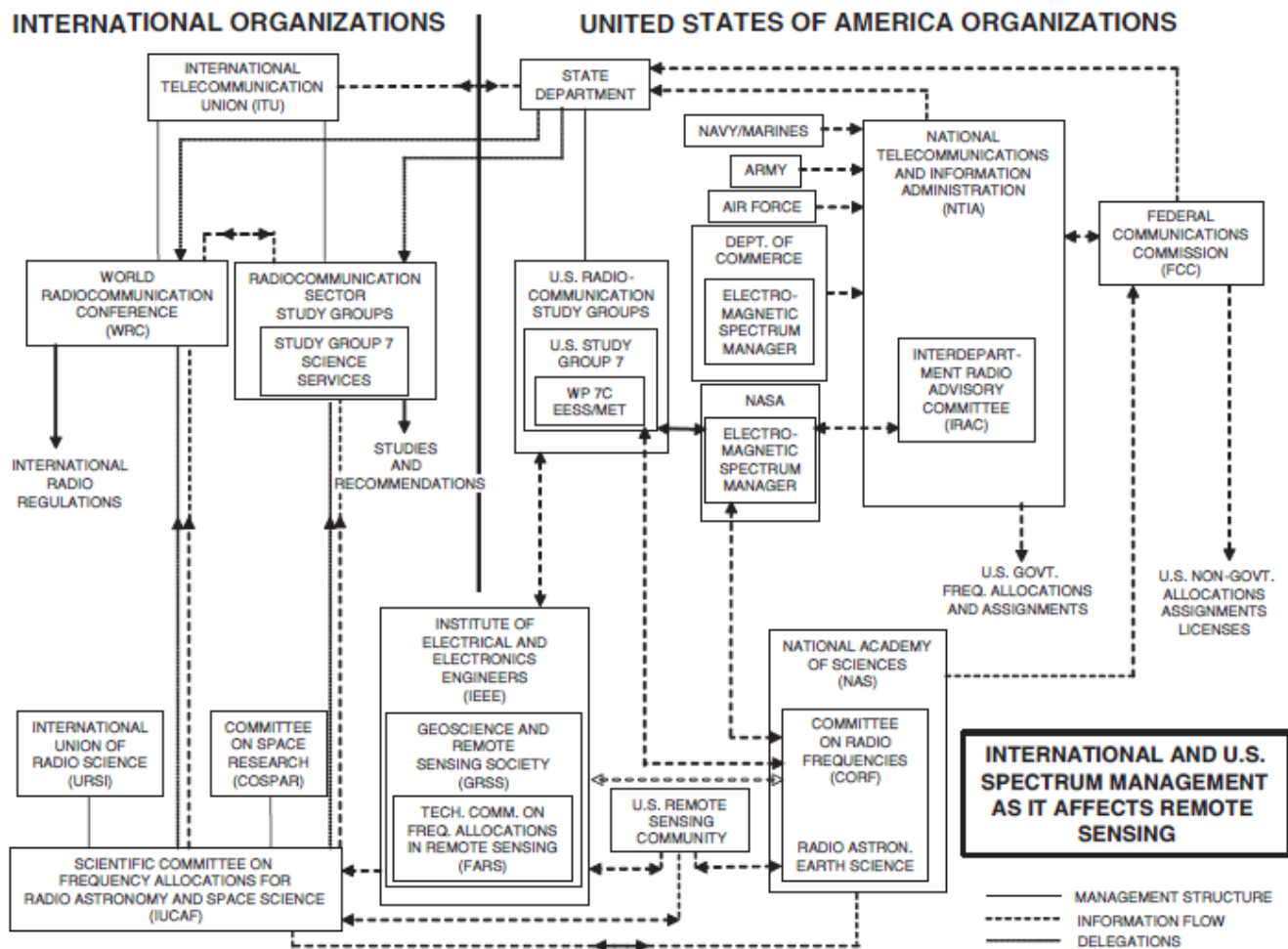
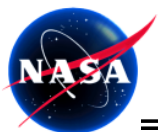
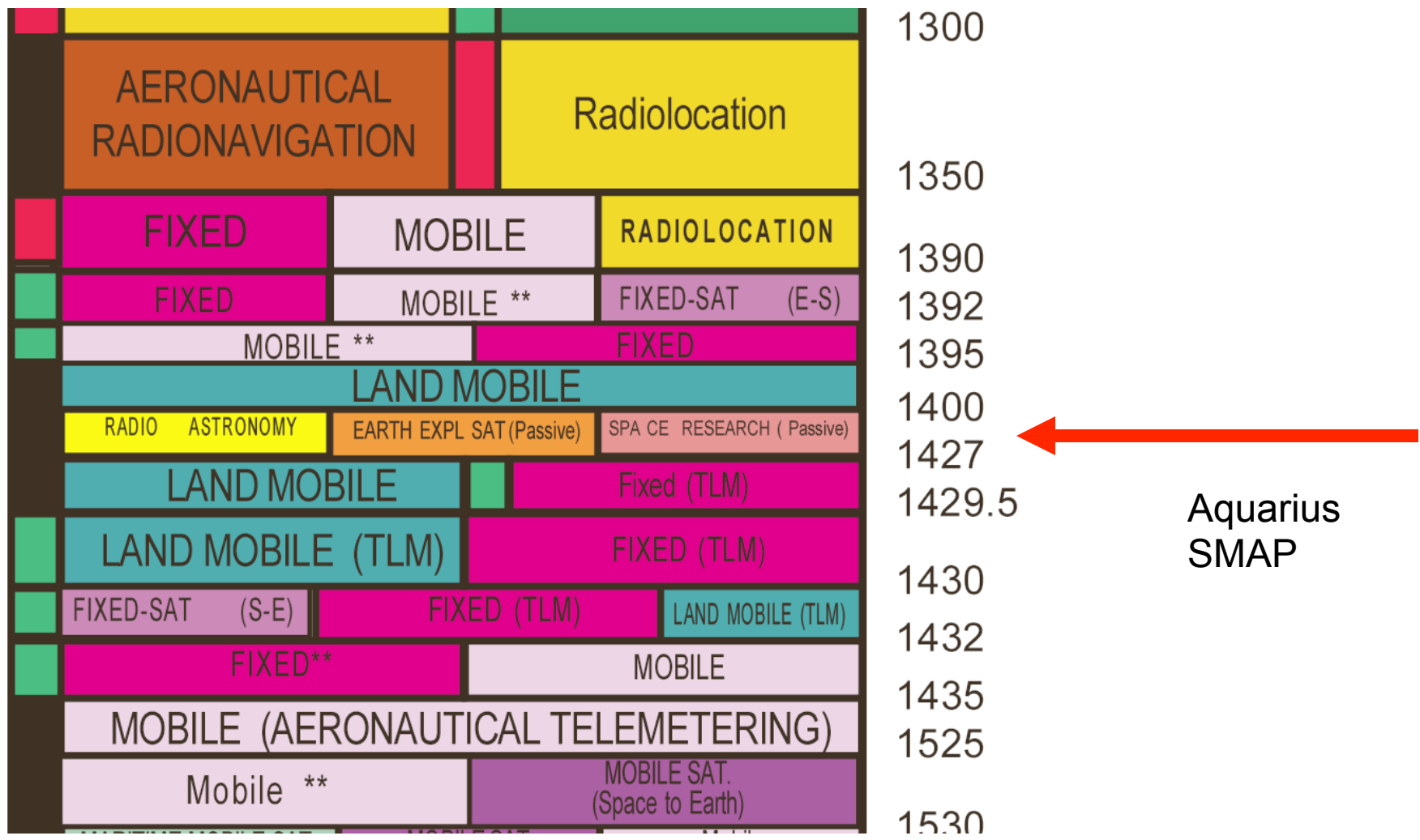
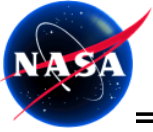


FIGURE 1.2 The diagram depicts the complex relationship among the national and international radio regulatory bodies for the Earth Exploration-Satellite Service.



# L-band Allocation Allocations



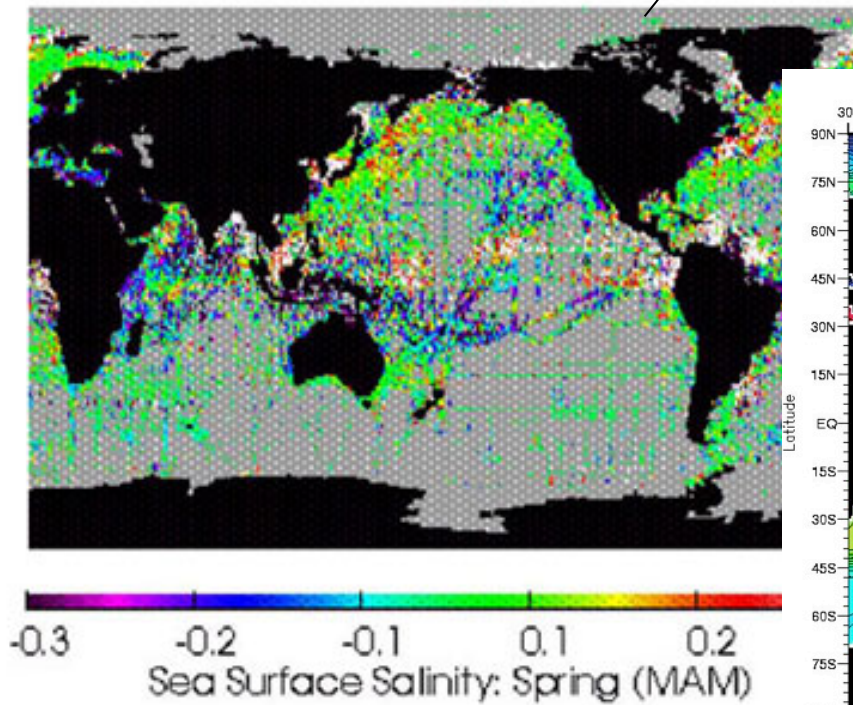


# 1 – 2 GHz (L-band)

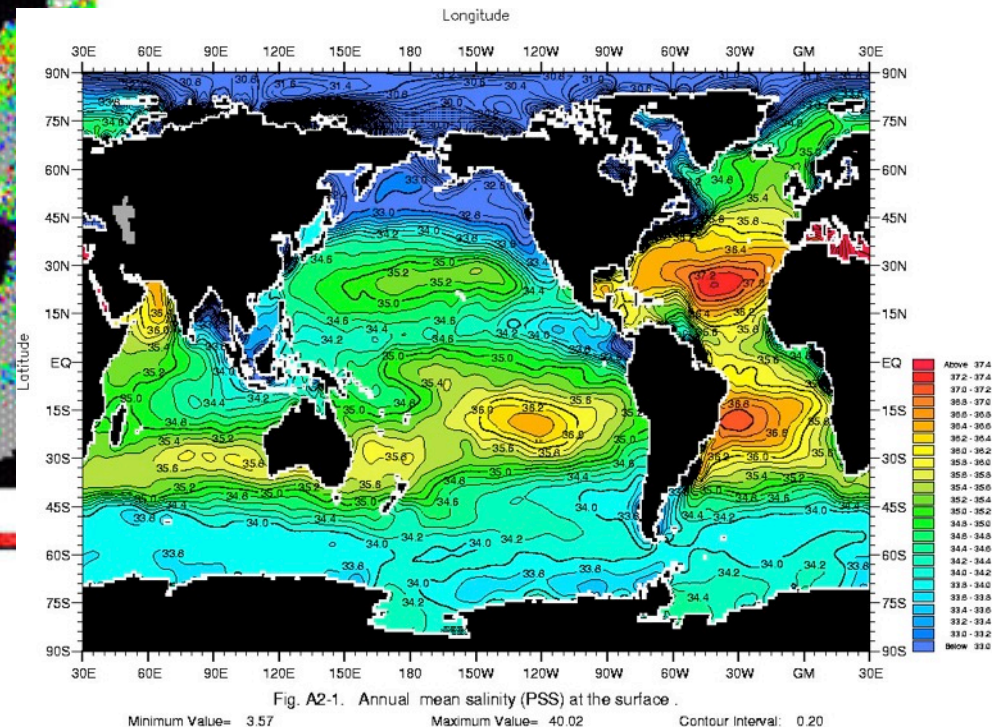
- Soil Moisture through vegetation
- Ocean Salinity

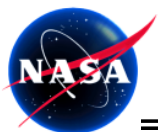


Aquarius/SAC-D

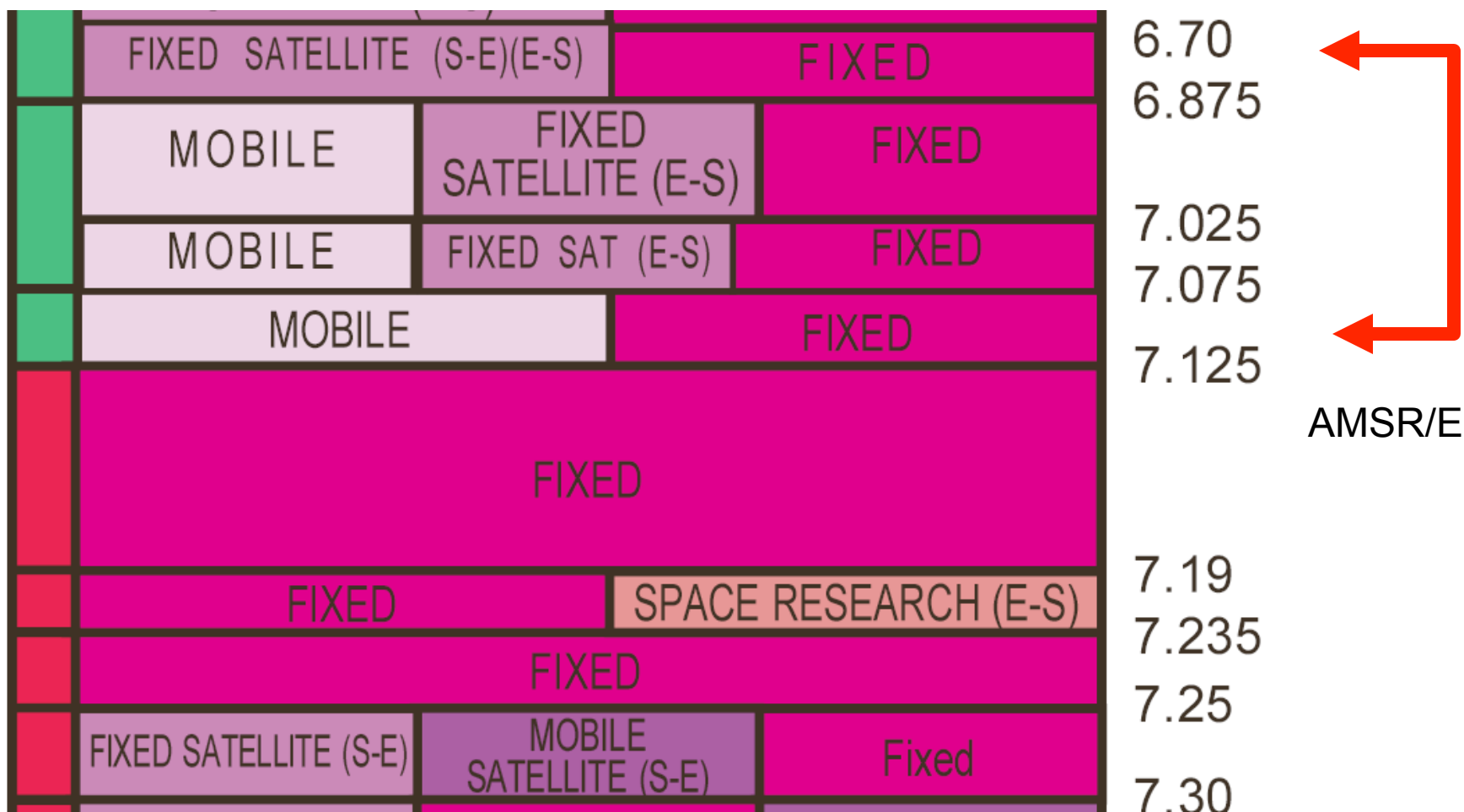


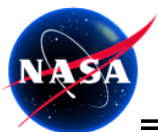
<http://aquarius.nasa.gov/>



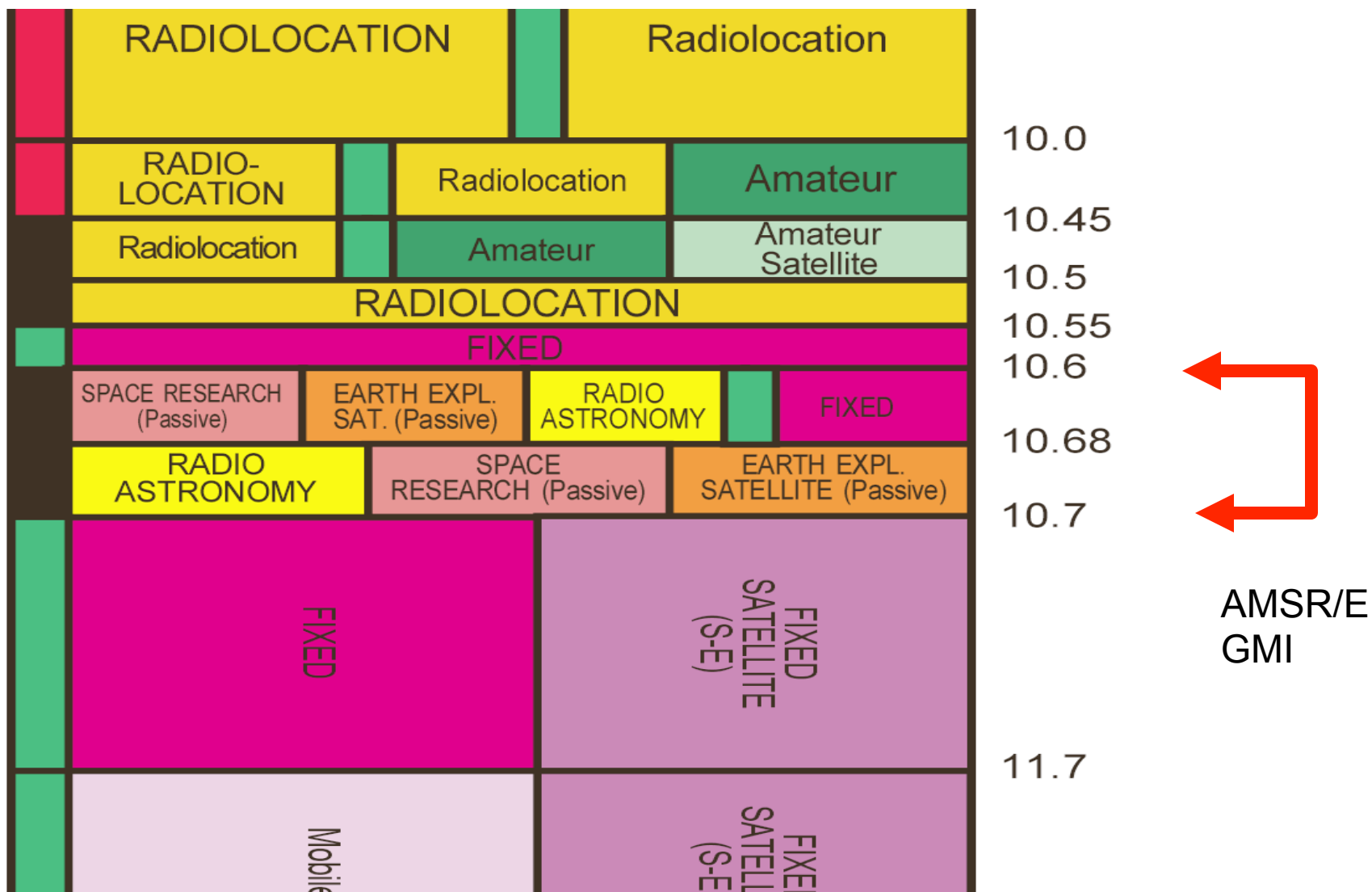


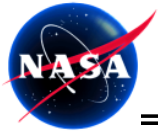
# C-band Allocations





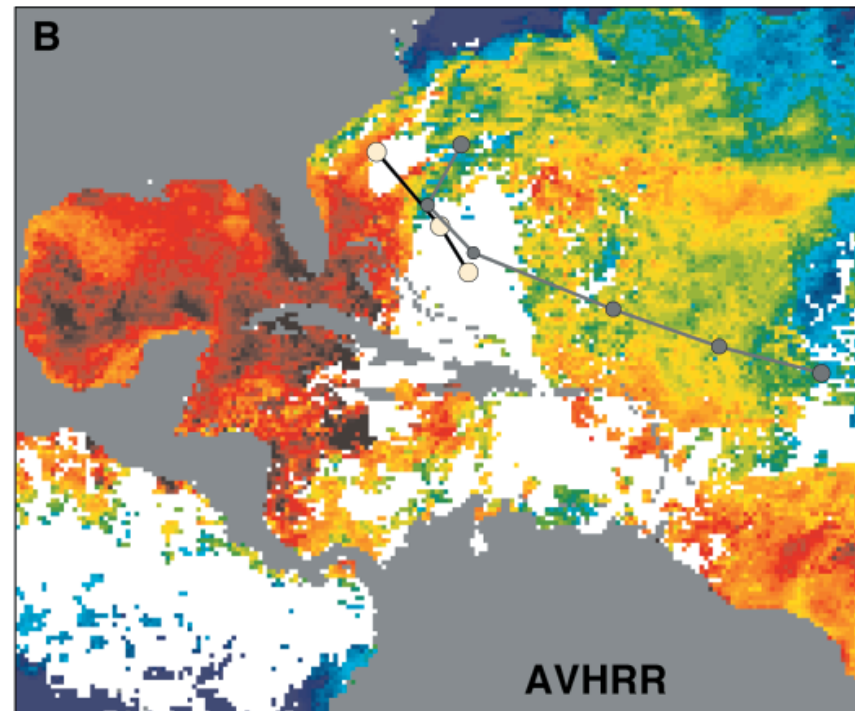
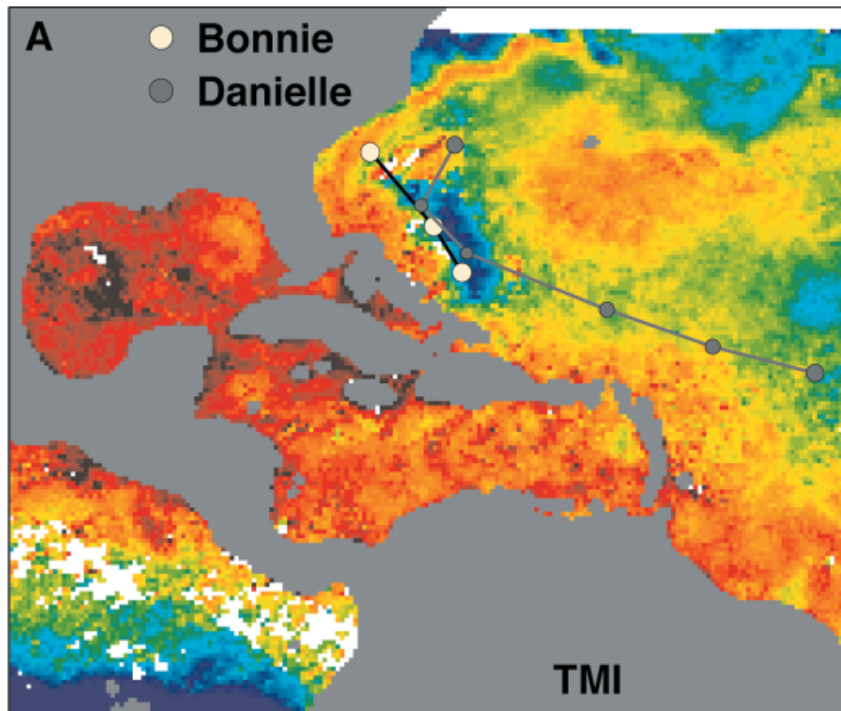
# X-band Allocation





## 4 – 12 GHz (C, X-bands)

- Soil Moisture (light vegetation)
- Sea Surface Temperature

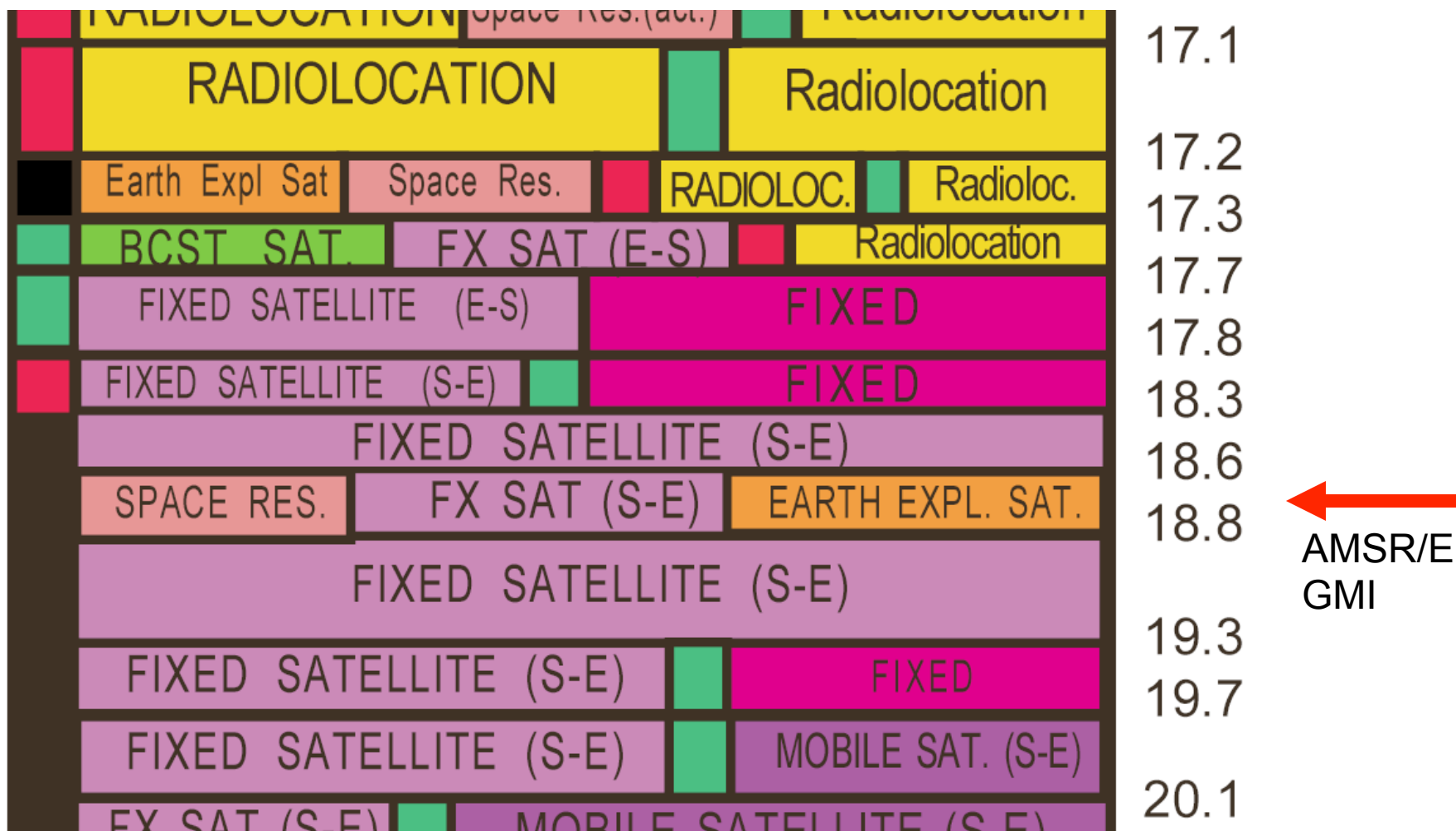


Wentz, FJ, CL Gentemann, DK Smith and others, 2000, [Satellite measurements of sea surface temperature through clouds](#), *Science*, 288, 847-850.



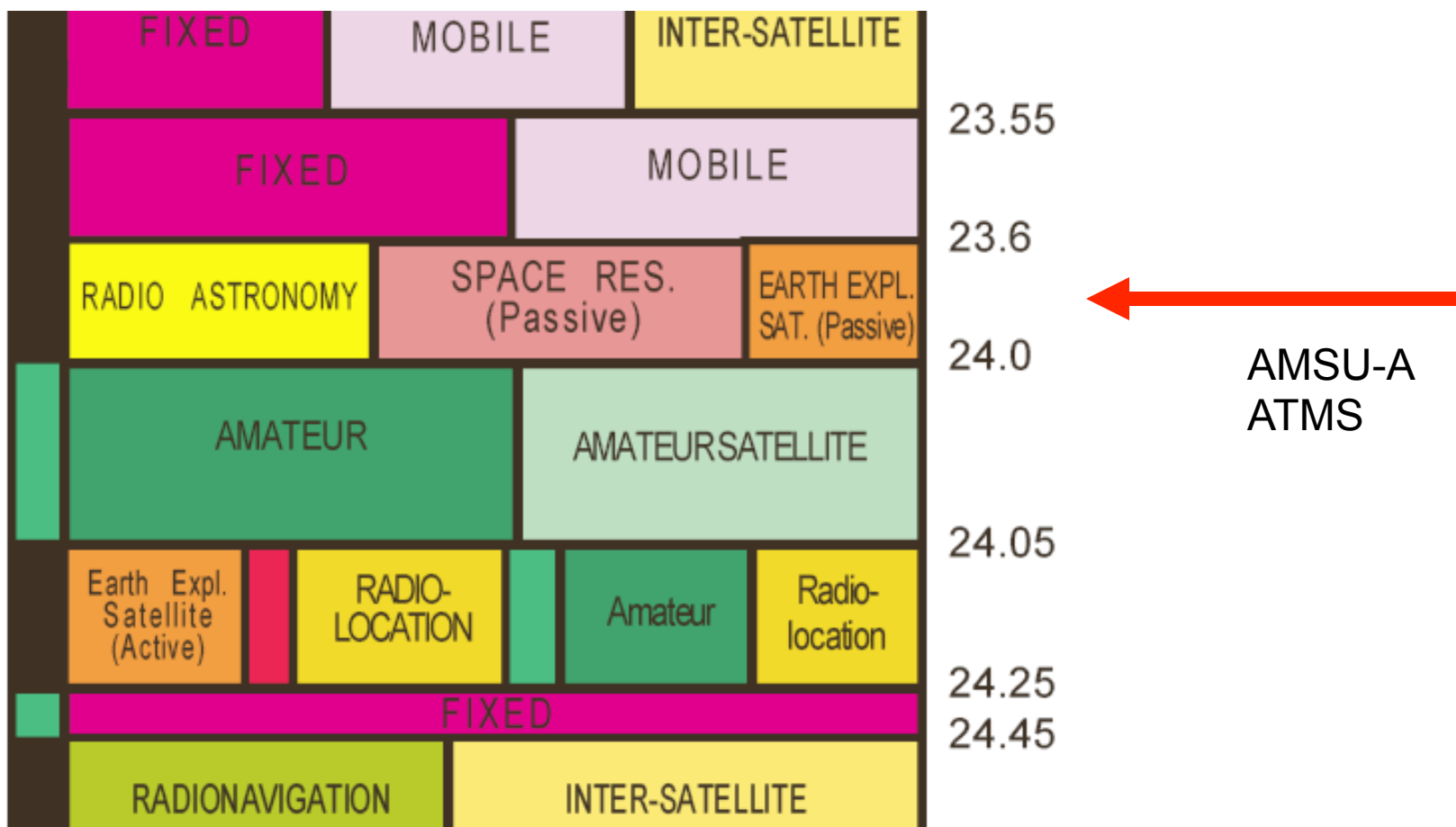


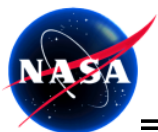
# K band Allocation





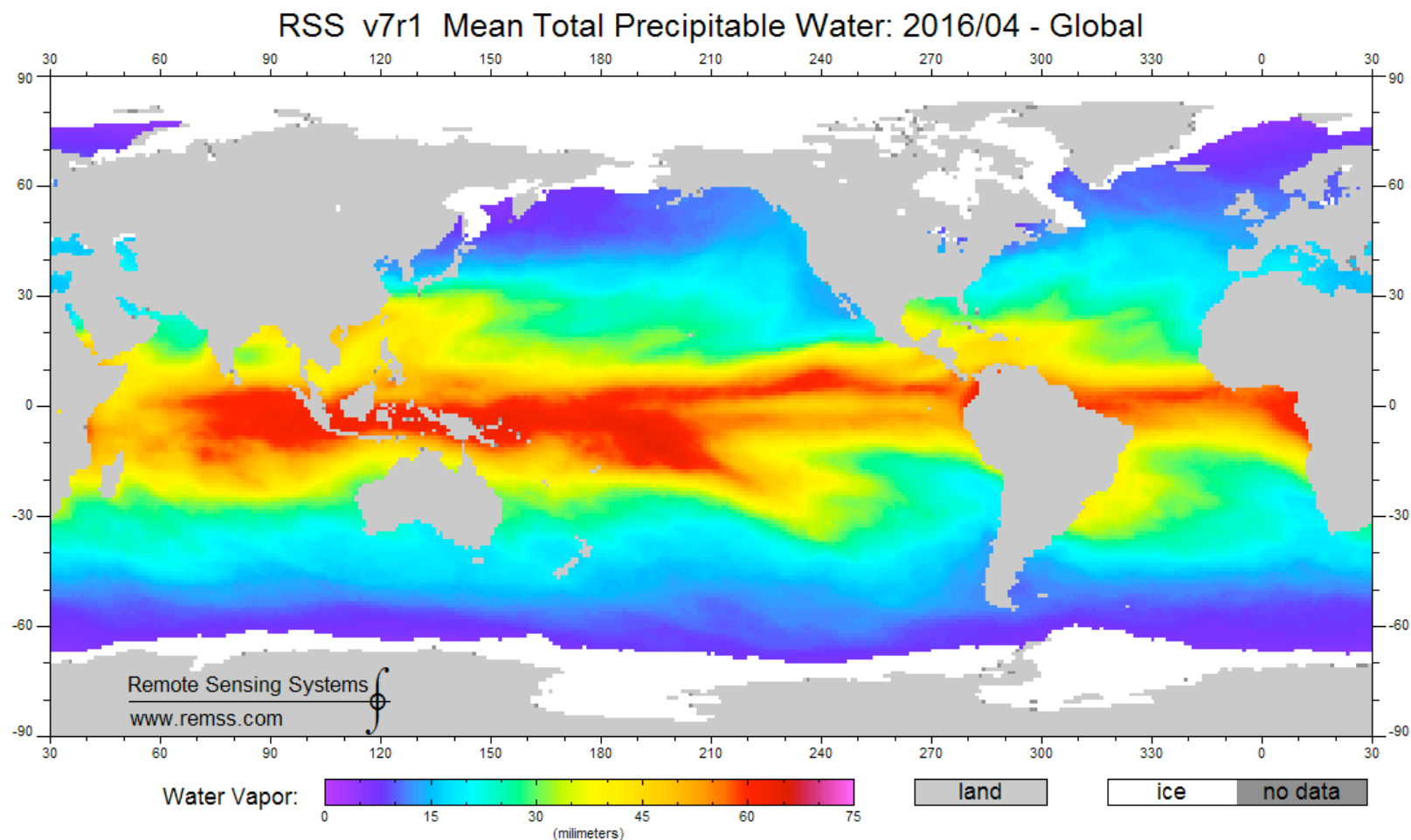
# K-band (water vapor) Allocations

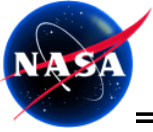




## 8 – 40GHz (X, Ku, K and Ka bands)

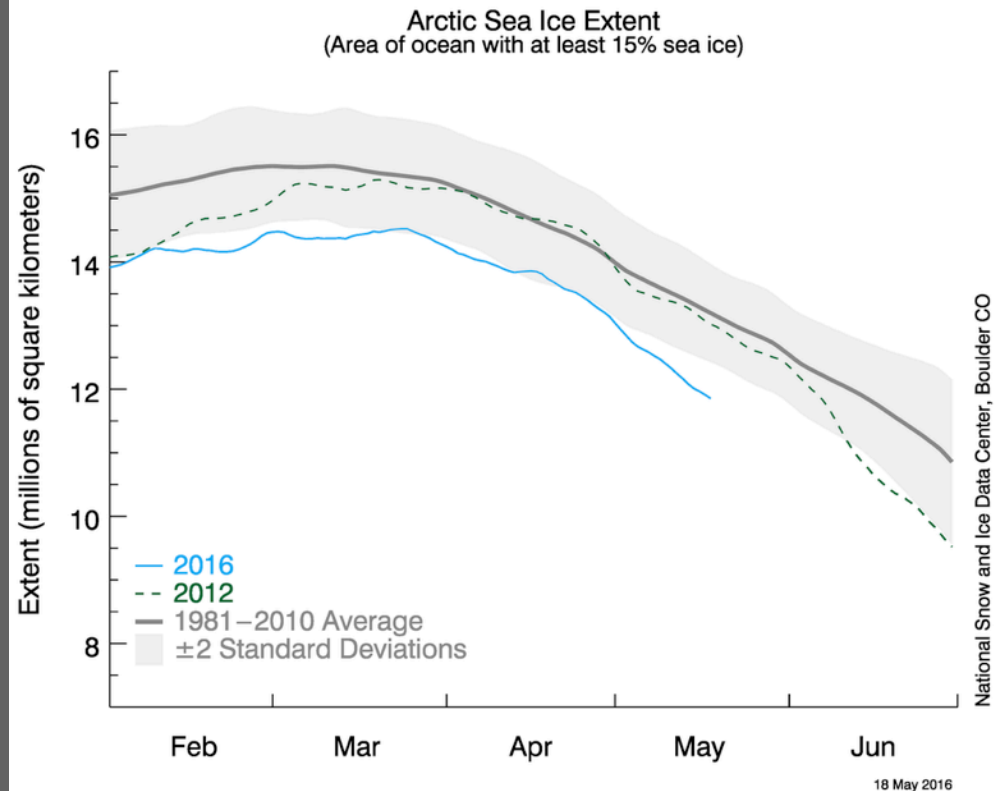
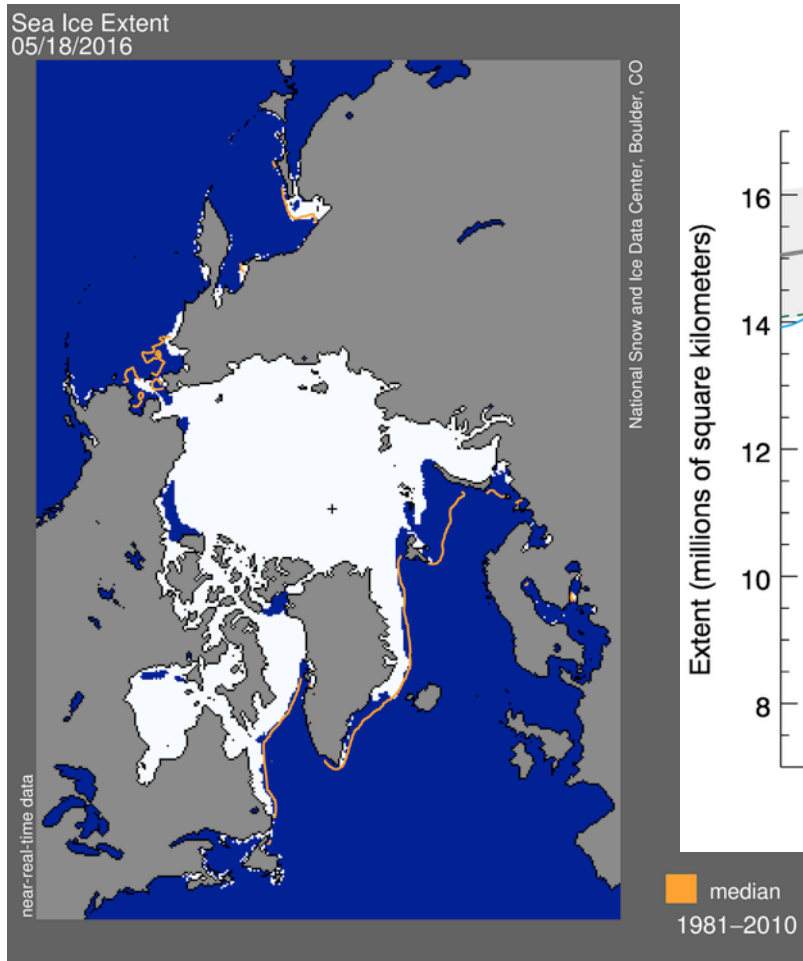
- Snow, sea ice, precipitation, clouds
- Ocean winds
- Water vapor





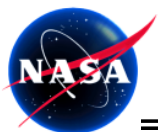
# 18 – 40 GHz (K andKa bands)

- Snow water equivalent, sea ice, precipitation, clouds
- Ocean winds



<http://nsidc.org/arcticseaicenews/>

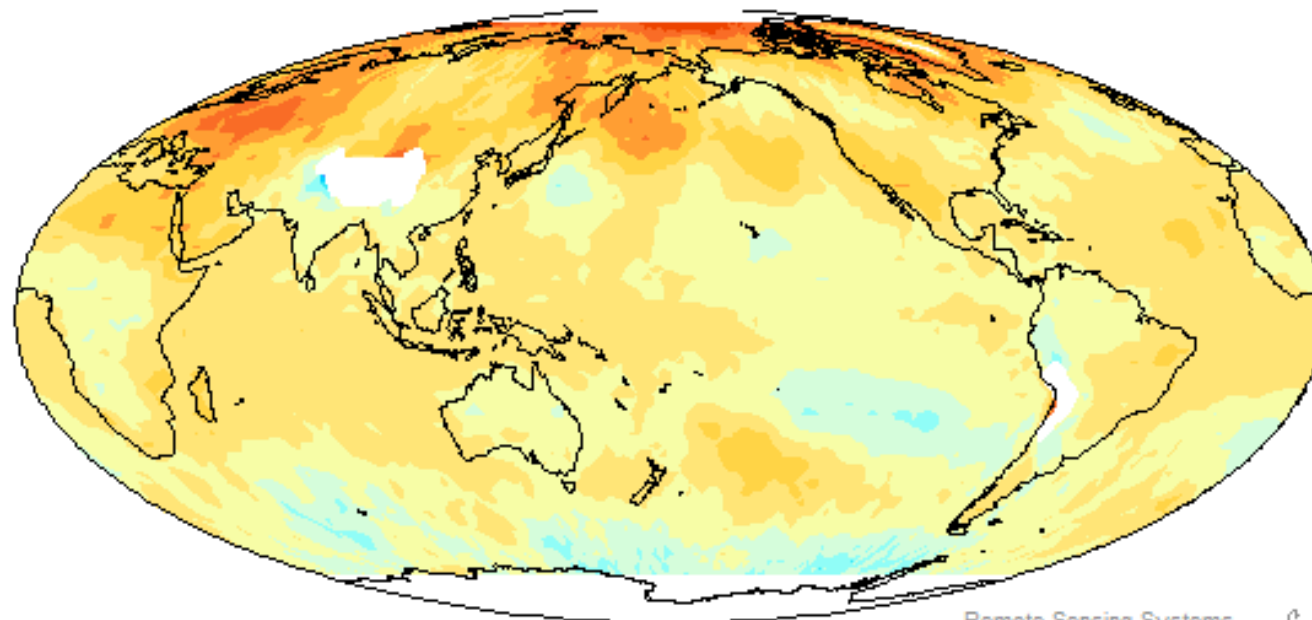




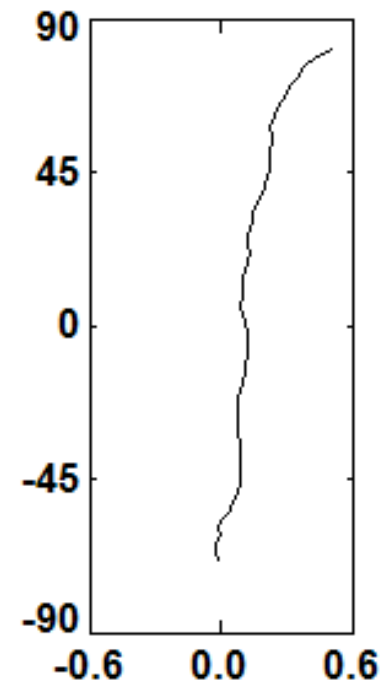
# 40 – 75 GHz (V band)

- Atmospheric temperature

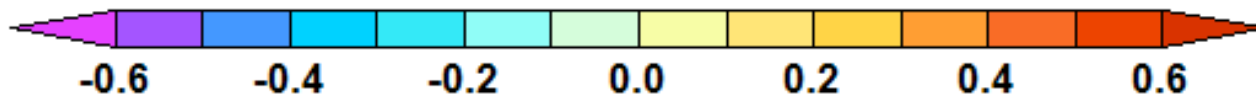
MSU/AMSU Channel TLT Brightness Temperature Trend (1979-2015)



Remote Sensing Systems  
www.remss.com

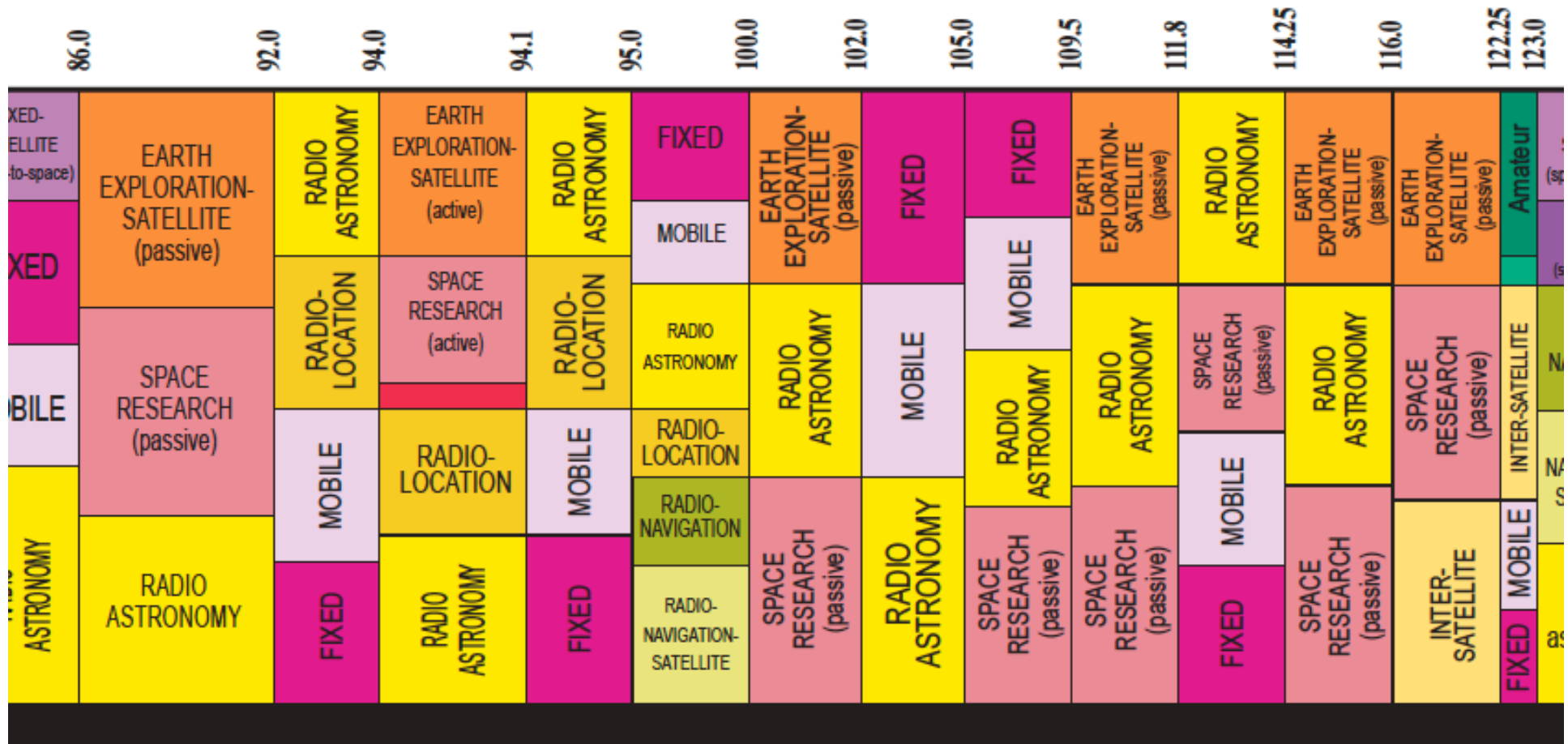


Brightness Temperature Trend (K/decade)





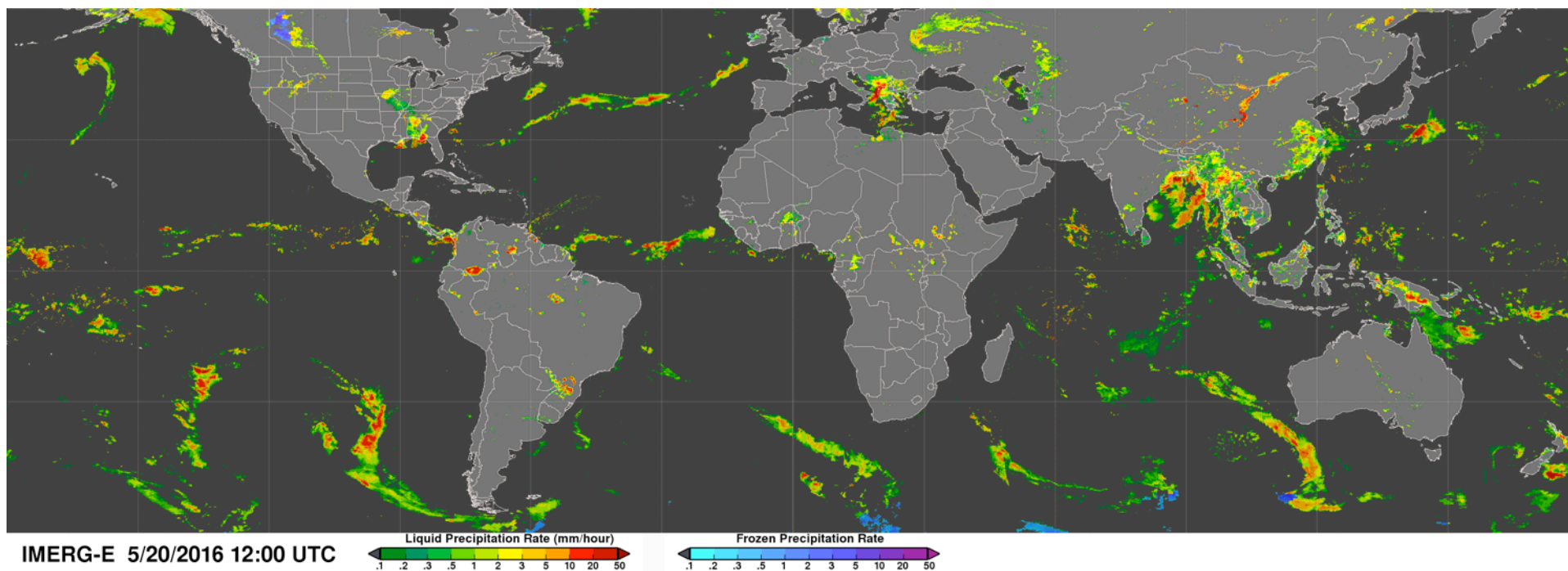
# W-Band Allocations





## 75 – 110 GHz (W band)

- Precipitation, hurricane intensity, clouds







# G-band Allocations (subset)

164.0	167.0	174.5	174.8	182.0	185.0	190.0	191.8	200.0	209.0	217.0
EARTH EXPLORATION-SATELLITE (passive)	RADIO ASTRONOMY	FIXED-SATELLITE (space-to-Earth)	SPACE RESEARCH (passive)	(space-to-Earth)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)
FIXED	MOBILE	MOBILE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE	INTER-SATELLITE
FIXED	MOBILE	MOBILE	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)
RADIO ASTRONOMY	RADIO ASTRONOMY	RADIO ASTRONOMY	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)	EARTH EXPLORATION-SATELLITE (passive)
SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)
SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)	SPACE RESEARCH (passive)
RADIONAVIGATION	RADIONAVIGATION-SATELLITE	RADIONAVIGATION-SATELLITE	MOBILE	MOBILE	MOBILE	MOBILE	MOBILE	MOBILE	MOBILE	MOBILE
RADIO ASTRONOMY	RADIO ASTRONOMY	RADIO ASTRONOMY	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)
FIXED	MOBILE	MOBILE	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)
FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)
FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)	FIXED-SATELLITE (Earth-to-space)



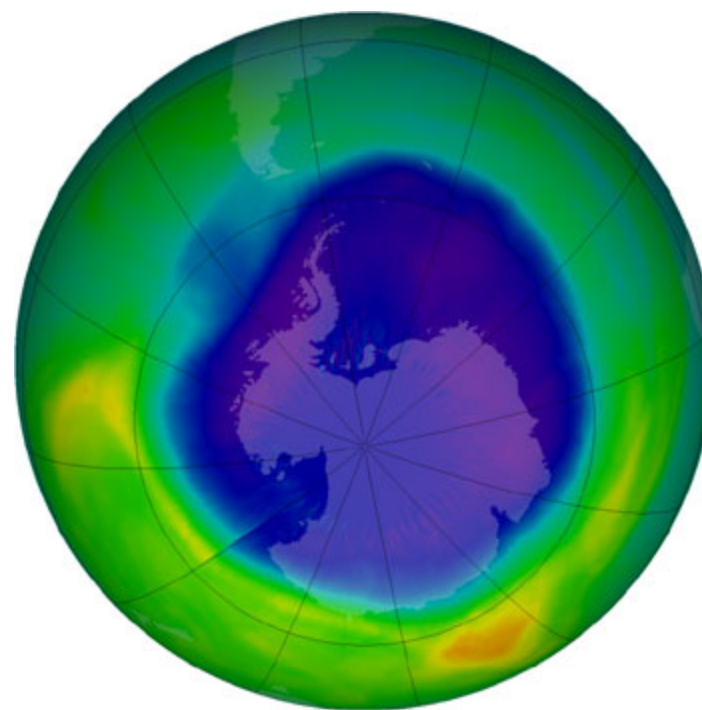
## 110 – 300 GHz (G Band)

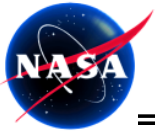
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- Precipitation, clouds
- Atmospheric Temperature
- Water vapor
- Atmospheric chemistry

Data from NASA's Earth-observing Aura satellite show that the ozone hole peaked in size on Sept. 13, reaching a maximum area extent of 9.7 million square miles – just larger than the size of North America. That's "pretty average," says Paul Newman, an atmospheric scientist at NASA Goddard Space Flight Center, when compared to the area of ozone holes measured over the last 15 years. Still, the extent this year was "very big," he says, compared to 1970s when the hole did not yet exist.

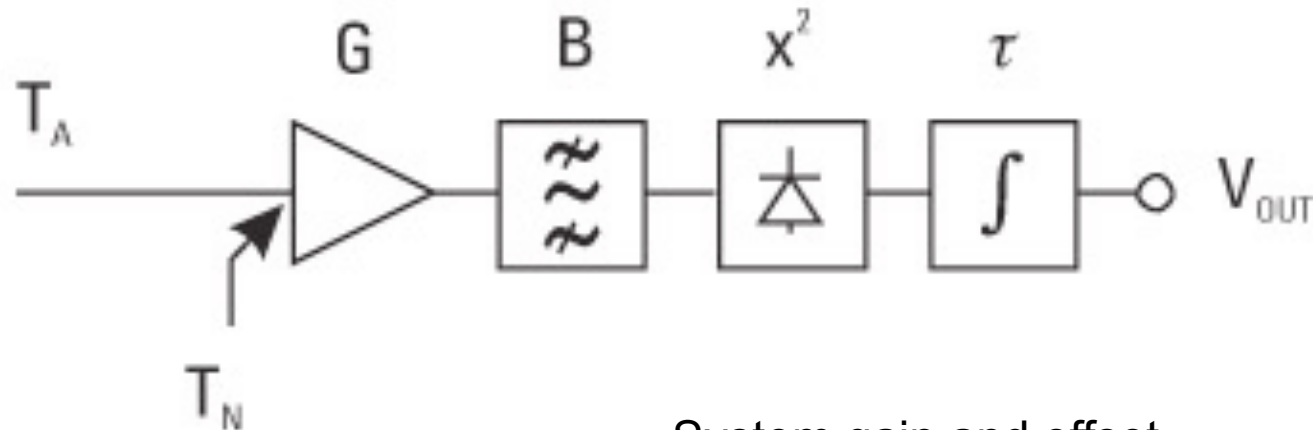
[http://www.nasa.gov/vision/earth/environment/ozone\\_resource\\_page.html](http://www.nasa.gov/vision/earth/environment/ozone_resource_page.html)





# Radiometer Basics

- Basic Total Power Radiometer



System gain and offset

$$\langle V_{out} \rangle = (T_A + T_N)kBGc$$

Want  $T_A$

**What happens when anthropogenic signals enter the passband?**

David LeVine

<http://www.globalspec.com/reference/70770/203279/chapter-4-radiometer-principles>



# First Spaceborne Radiometer RFI

NRL Memorandum Report 4200

## Survey of Potential Radio Frequency Interference Sources

C. DAVID CRANDALL

*Space and Communications Technology Directorate*

May 13, 1980

- SMMR: 1978-1987
- 6.5-6.7 GHz passband
- Fixed & mobile services
- West coast of N. America

the SEASAT SMMR RFI problem was observed when even satellite sub-satellite point was within 500 nautical miles of the coast.



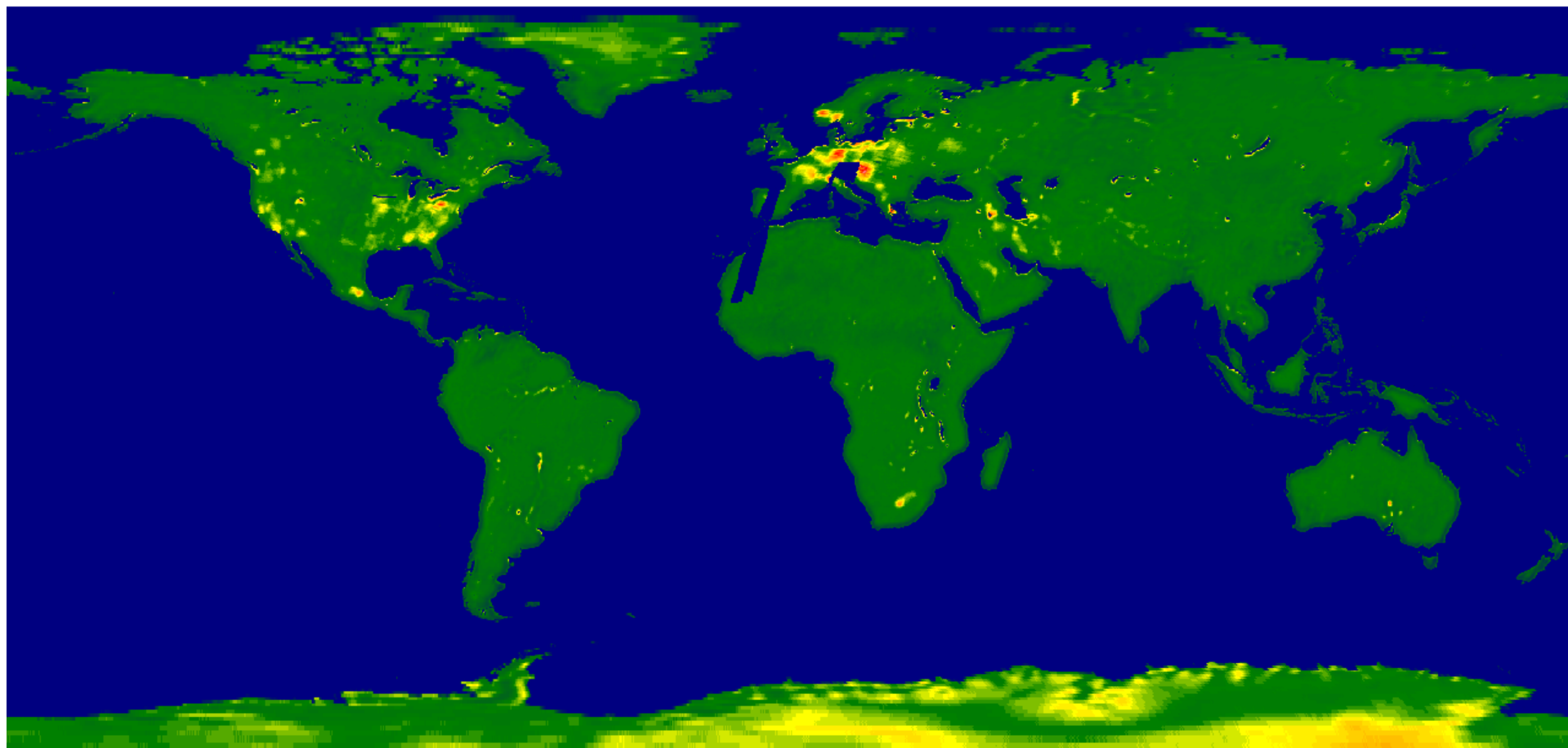
NAVAL RESEARCH LABORATORY  
Washington, D.C.

Approved for public release; distribution unlimited.



# Evolution of C- and X-band Global RFI

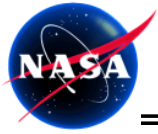
1979 - 06



↓ 6.6 GHz  
1979

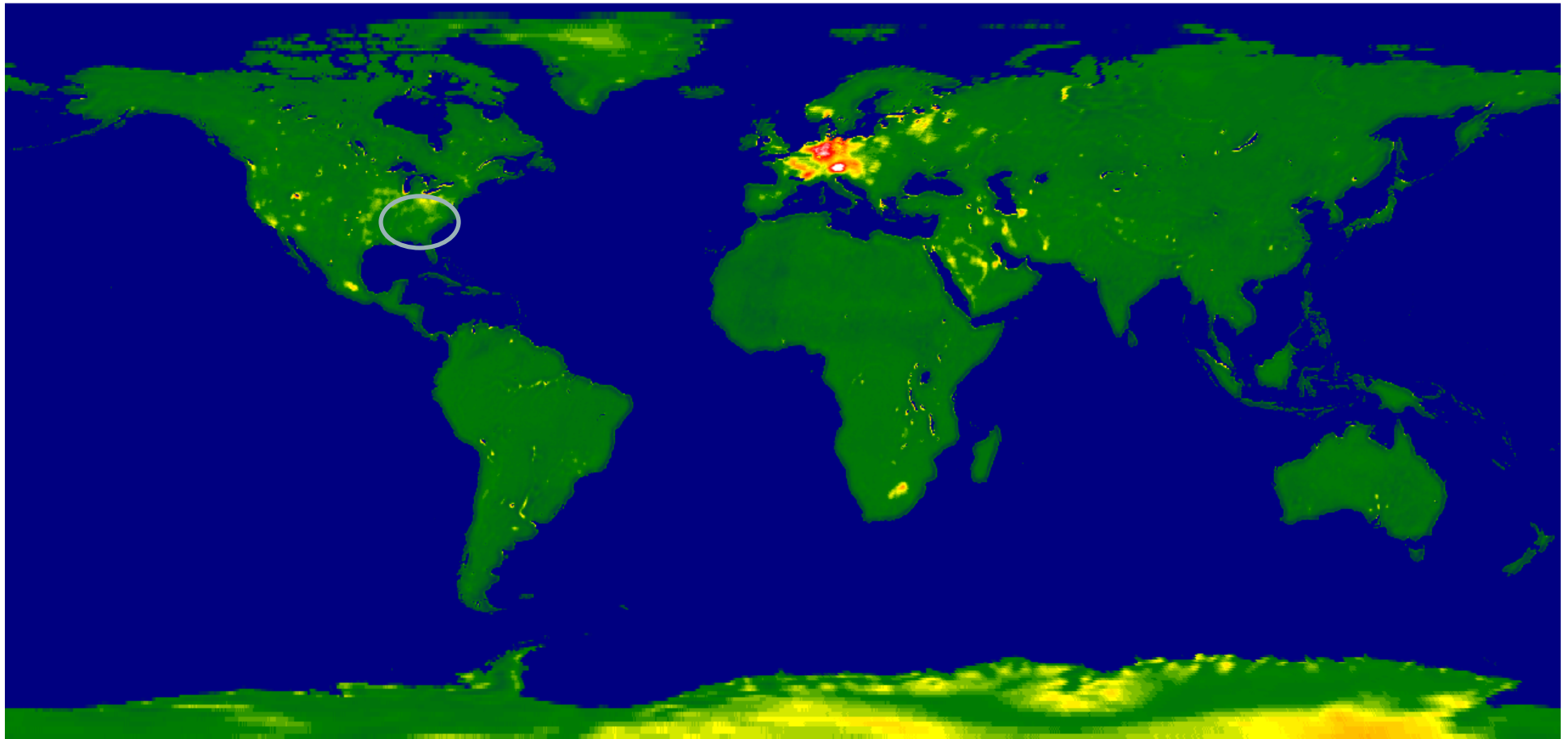
1987

2007



# Evolution of C- and X-band Global RFI

1987 - 06

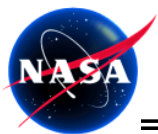


↓ 6.6 GHz

1979

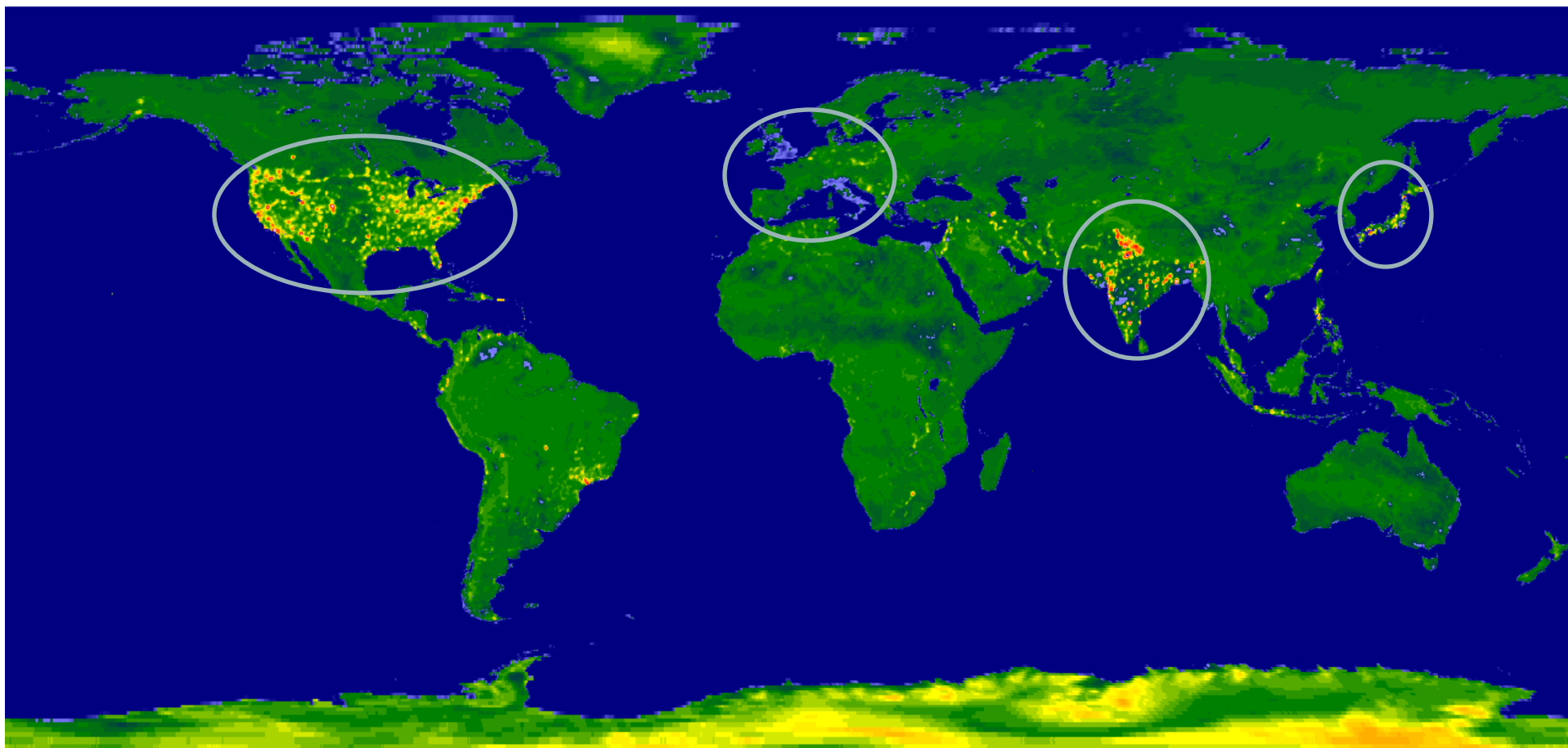
1987

2007



# Evolution of C- and X-band Global RFI

2007 - 06



1979

1987

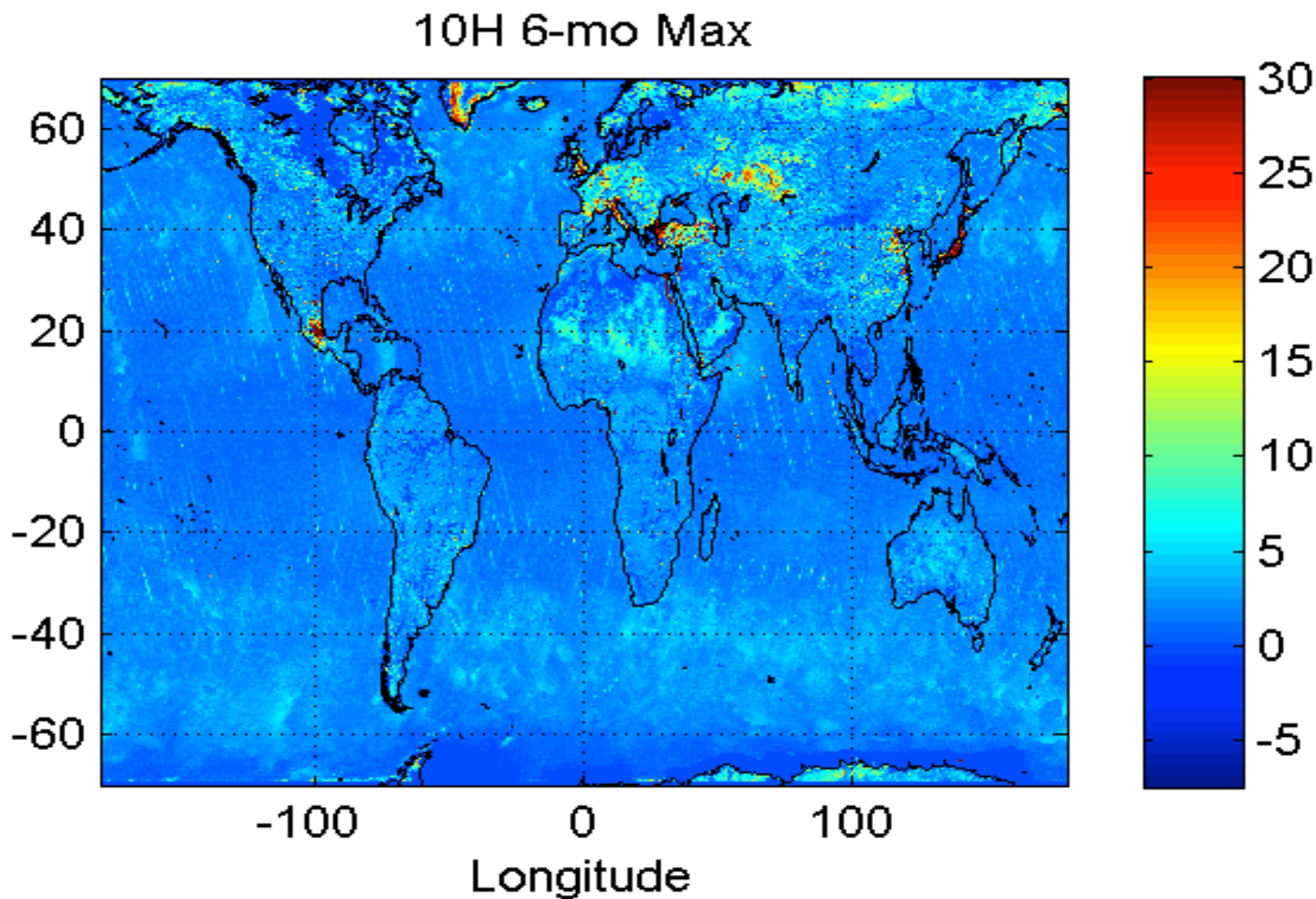
↓ 6.9 GHz  
2007







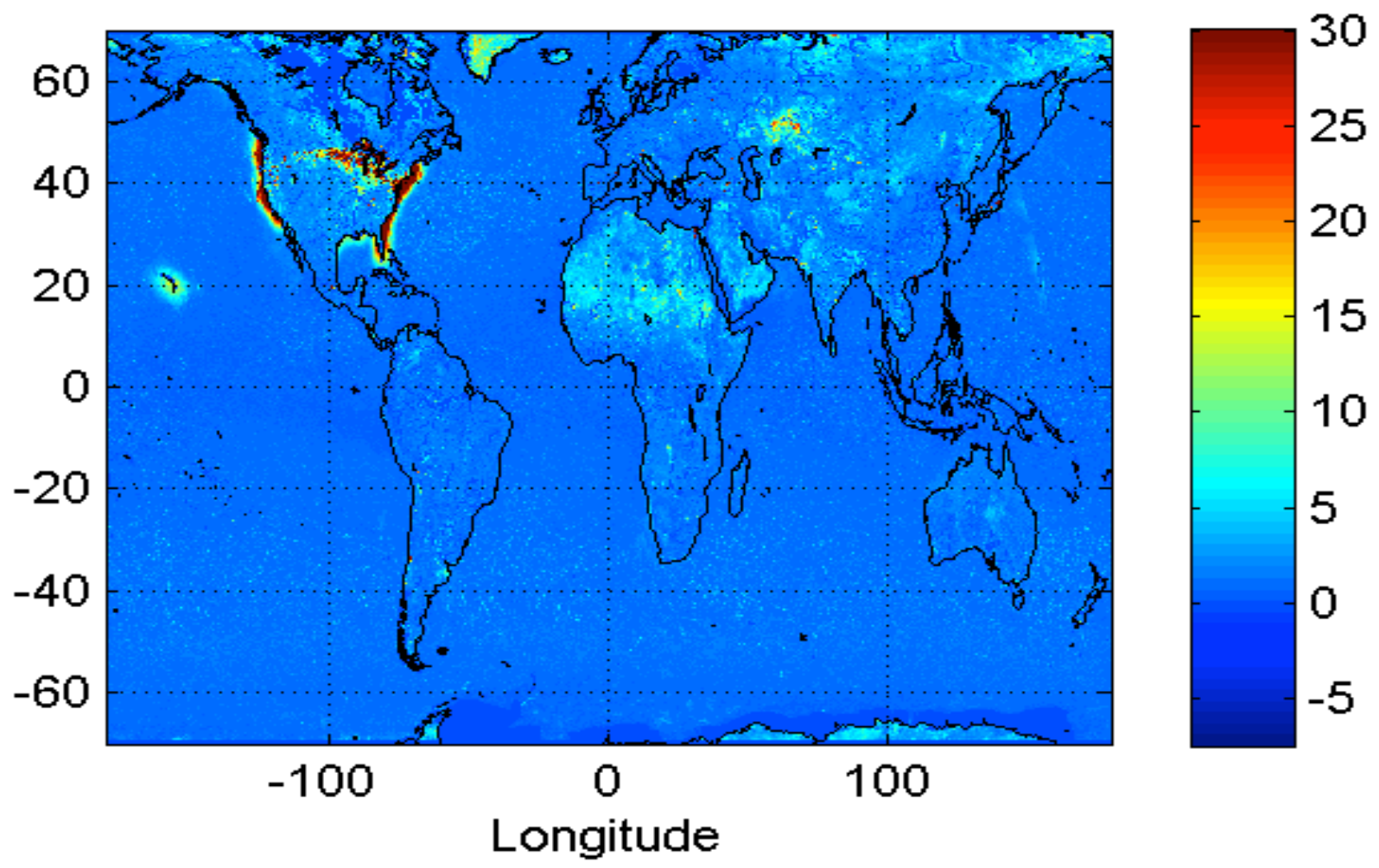
# GMI - 10 GHz RFI Map)

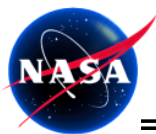




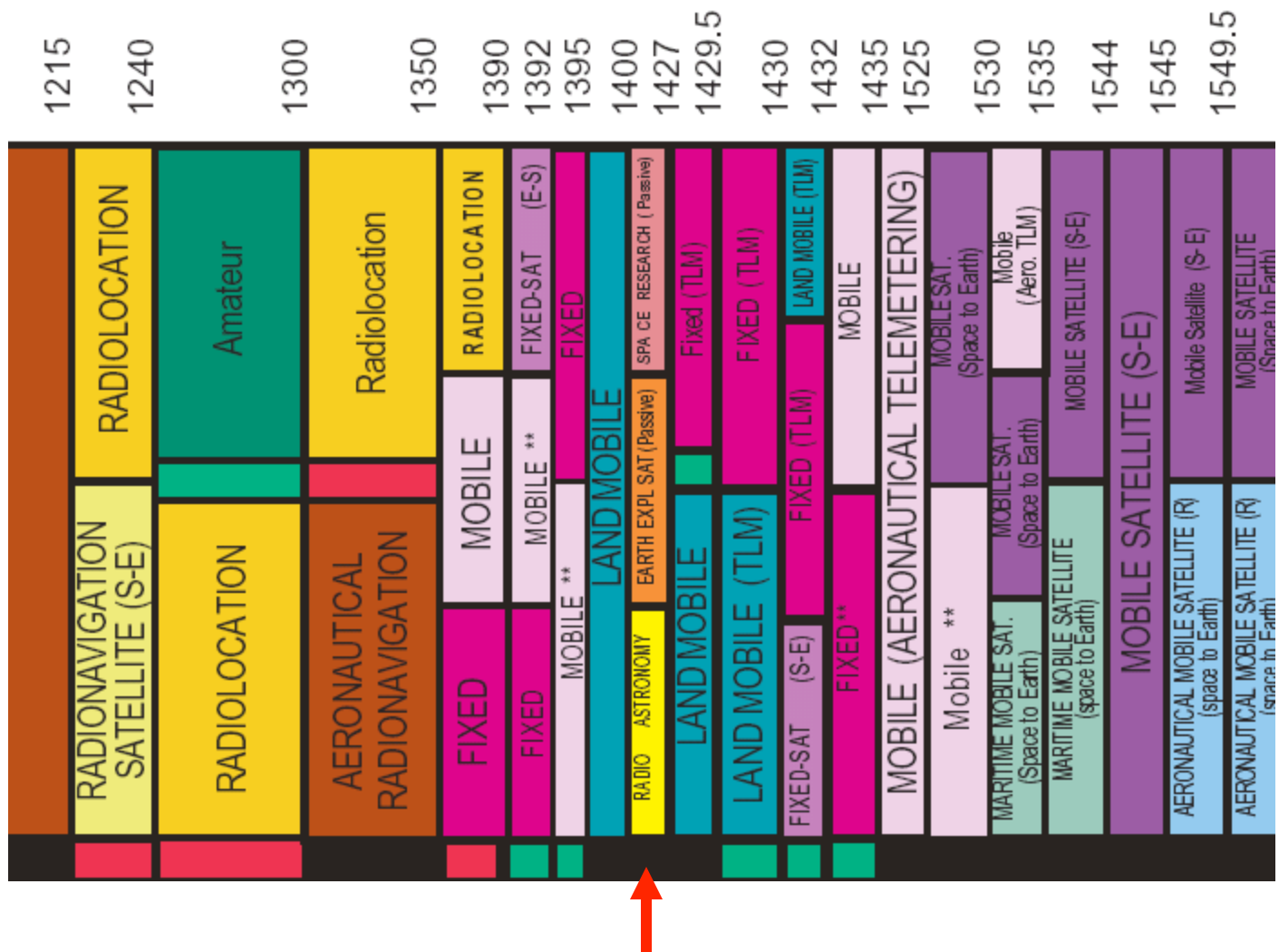
# GMI - 18 GHz RFI Maps

18H 6-mo Max





# L-Band EESS(Passive) Spectrum Allocation

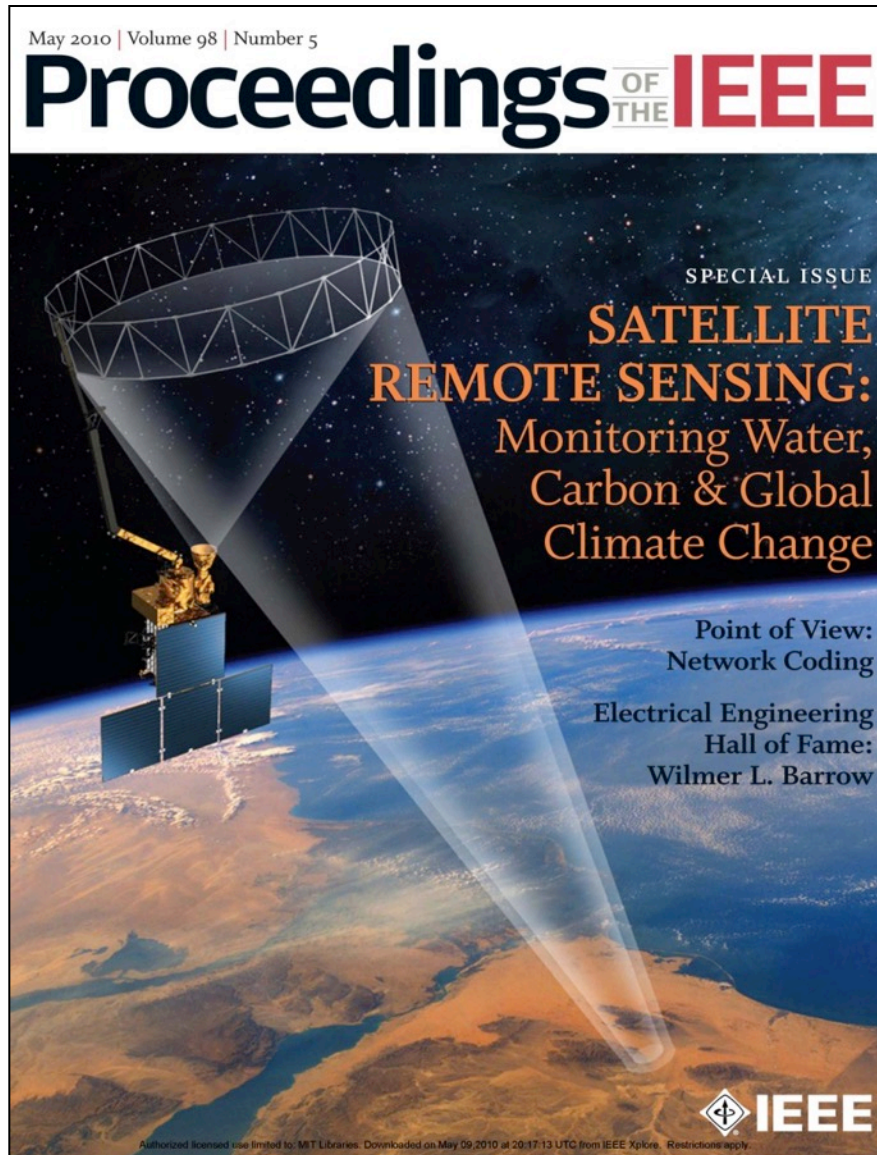




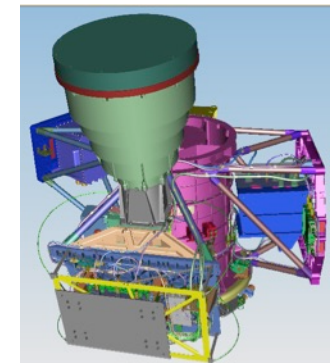
National Aeronautics and  
Space Administration

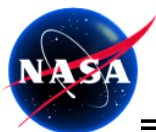
Jet Propulsion Laboratory  
California Institute of Technology  
Pasadena, California

# SMAP Mission Concept



- L-band Unfocused SAR and Radiometer System, Offset-Fed 6 m Light-Weight Deployable Mesh Reflector. Shared Feed For
  - 1.26 GHz Radar at 1-3 km (HH, VV, HV) (30% Nadir Gap)
  - 1.4 GHz Polarimetric Radiometer at 40 km (H, V, 3<sup>rd</sup> & 4<sup>th</sup> Stokes)
- Conical Scan at Fixed Look Angle
- Wide 1000 km Swath With 2-3 Days Revisit
- Sun-Synchronous 6am/6pm Orbit (680 km)
- Launch 2014
- Mission Duration 3 Years





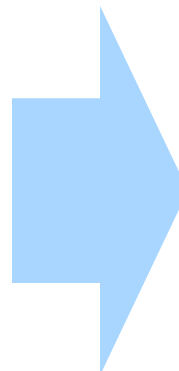
# Mission Context

**SMAP is one of four Tier-1 missions recommended by the U.S. NRC Earth Science Decadal Survey**



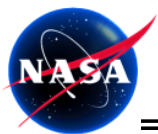
**“Earth Science and Applications from Space: National Imperatives for the next Decade and Beyond”**

(National Research Council, 2007)  
<http://www.nap.edu>

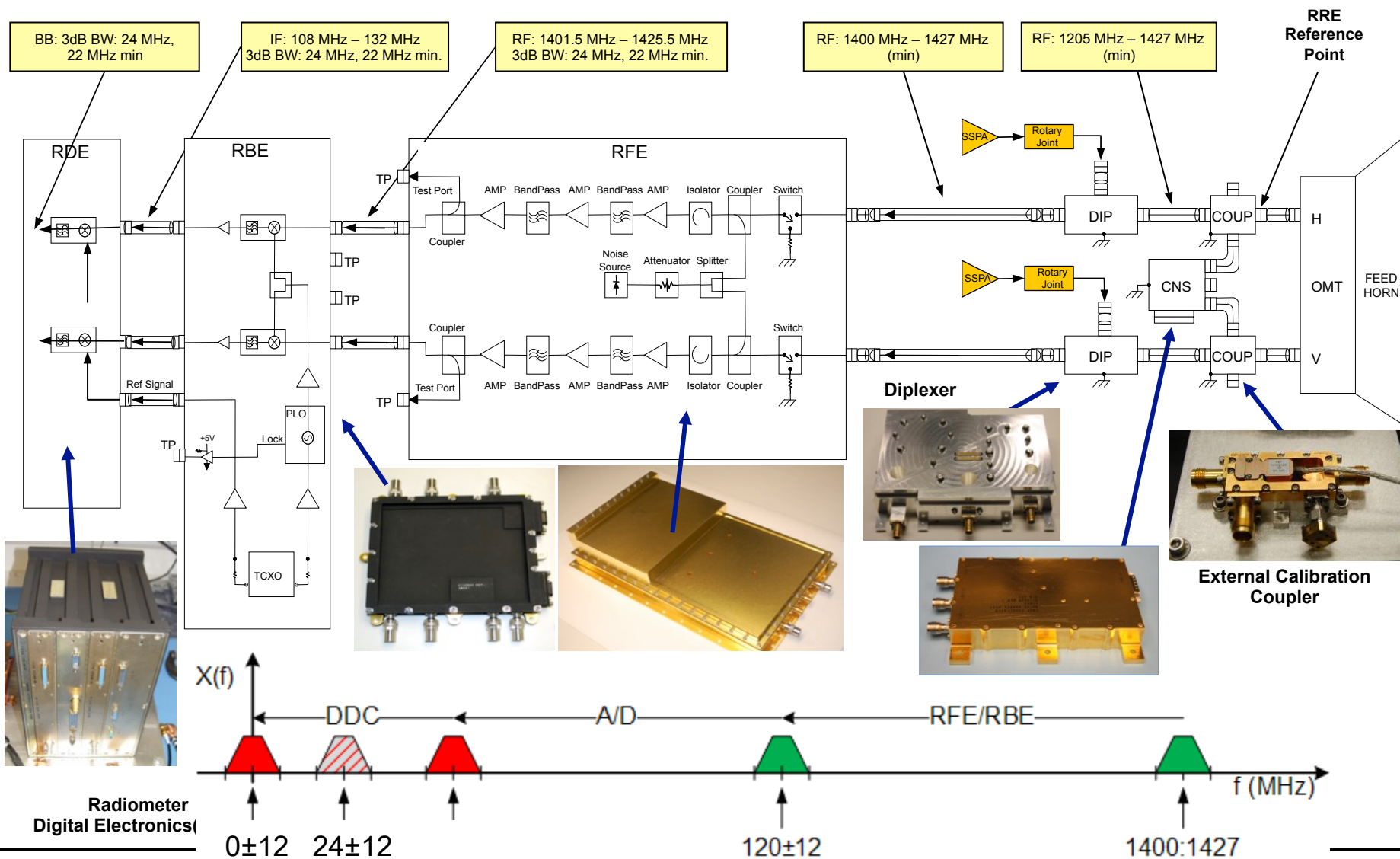


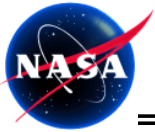
Tier 1:	
	Soil Moisture Active Passive (SMAP)
	ICESAT II
	DESDynI
	CLARREO
Tier 2:	
	SWOT
	HYSPIRI
	ASCENDS
	GEO-CAFE
	ACE
Tier 3:	
	LIST
	PATH
	GRACE-II
	SCLP
	GACM
	3D-WINDS

- **SMAP was initiated by NASA as a new start mission in February 2008**
- **SMAP leverages work done under Hydros & Aquarius**
- **SMAP about to enter Phase C/D – CDR scheduled for May 2012**
- **Target launch date for SMAP is October 2014**

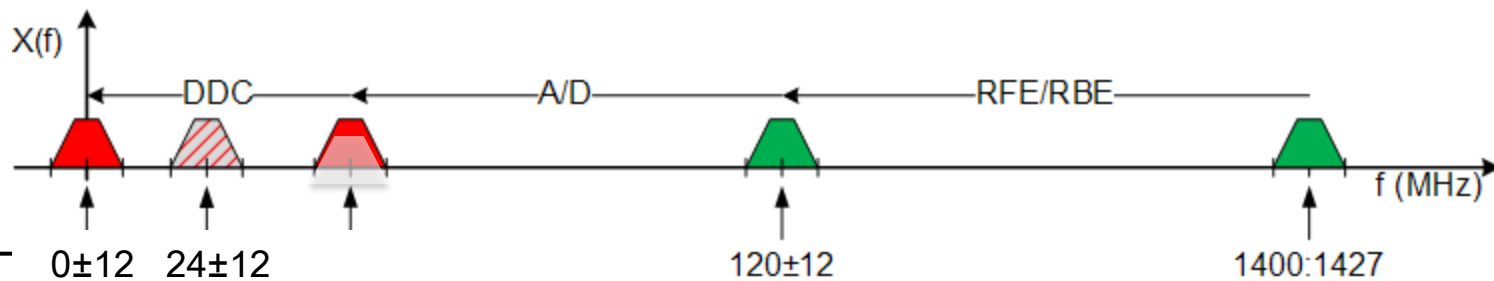
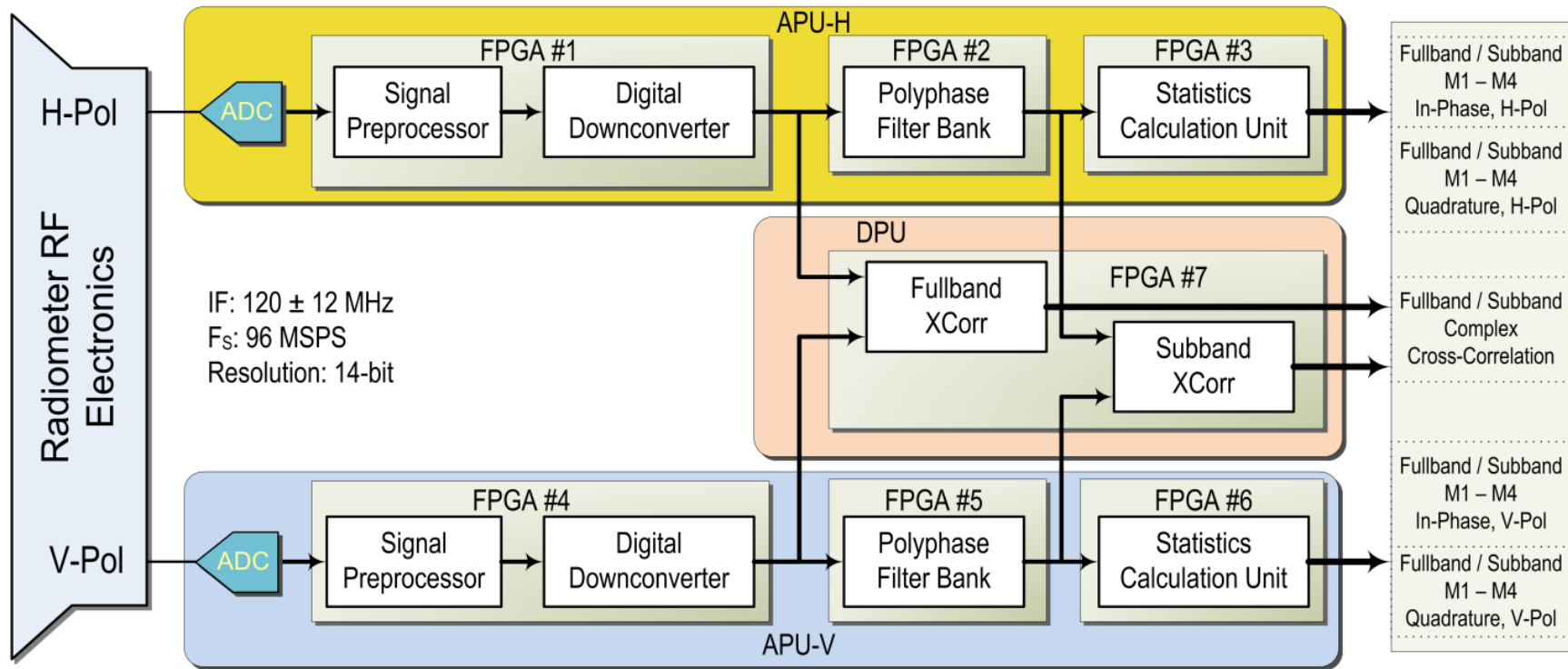


# SMAP Radiometer Block Diagram



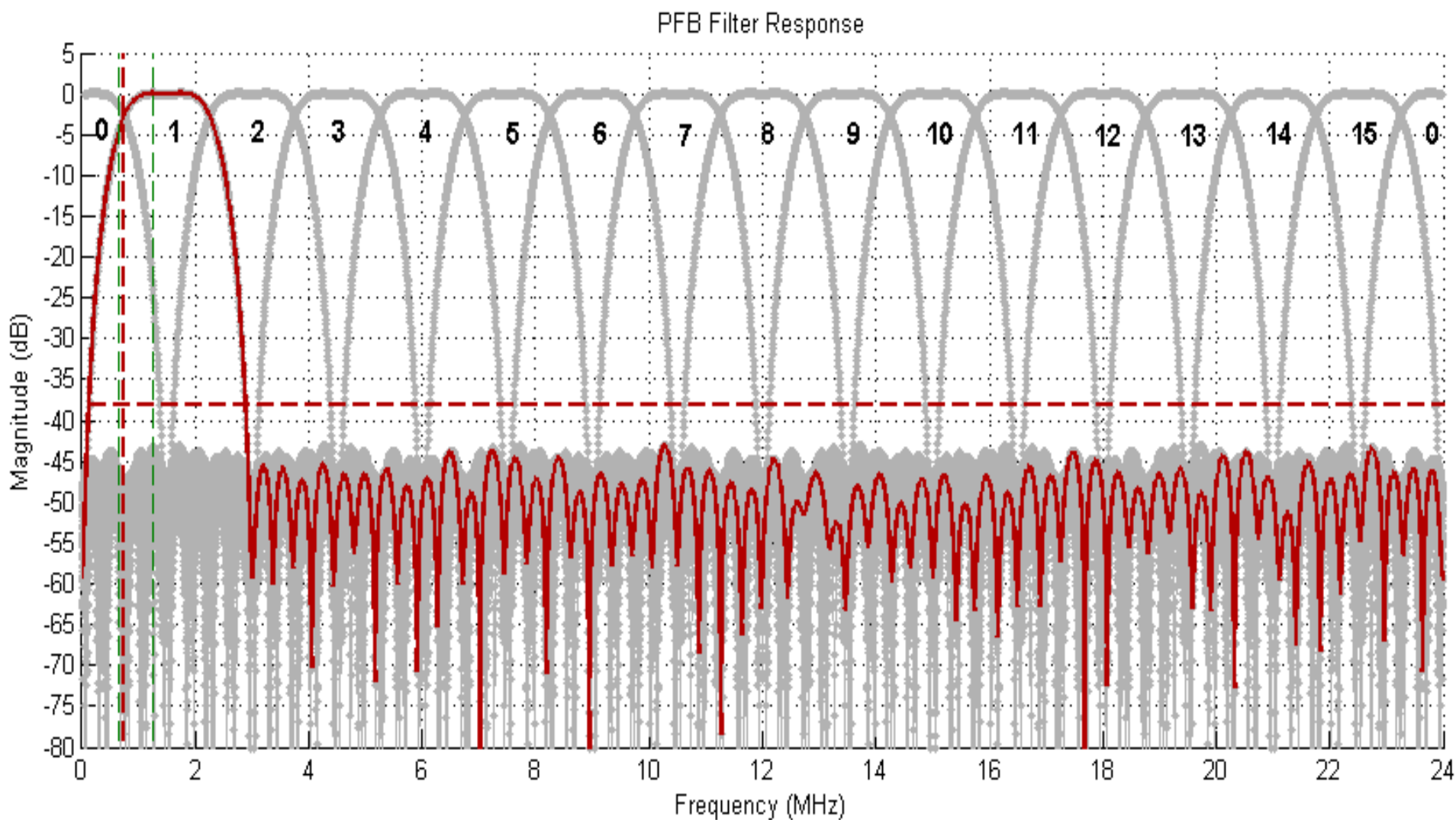


# RDE Architecture: DSP Data Flow

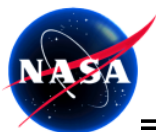




# Filterbank Frequency Response

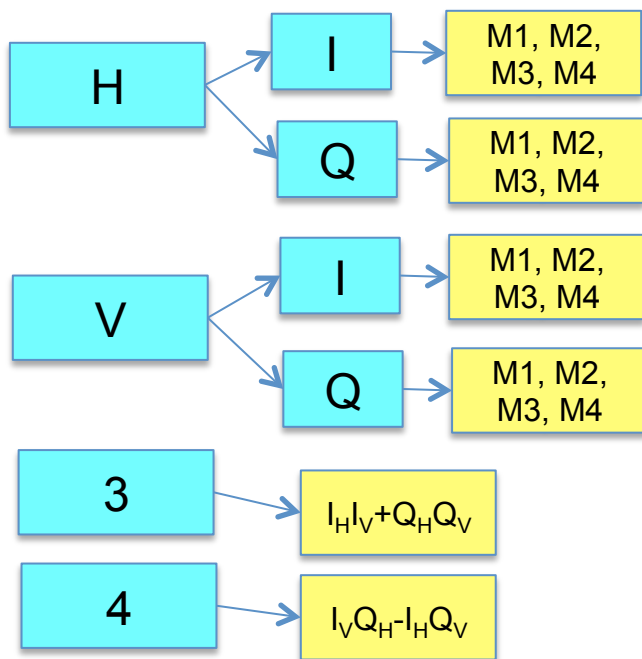




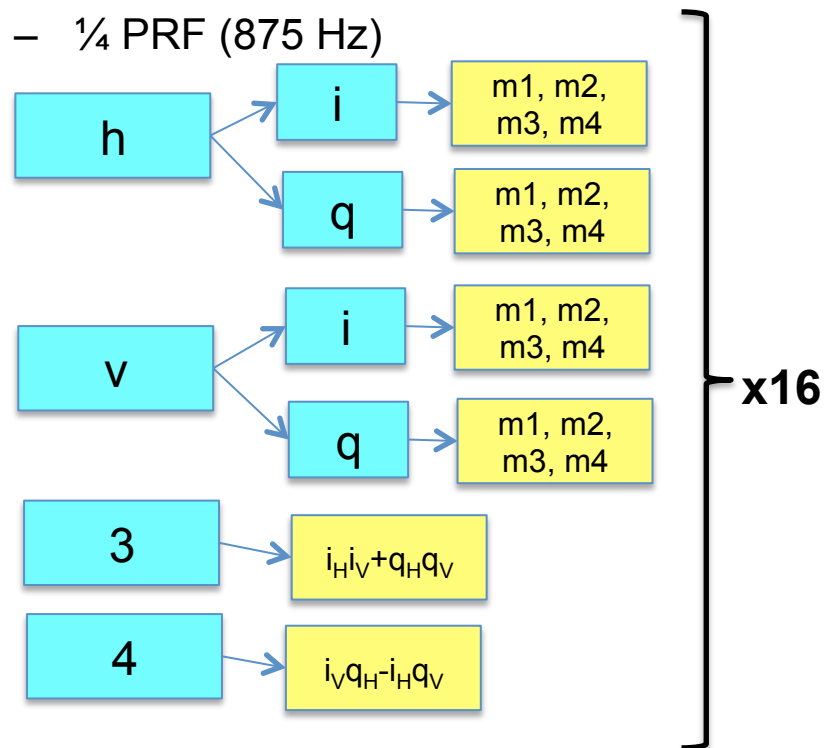


# SMAP Radiometer “Detector” Counts

- Full-band high-rate, every PRI (300 us)
  - V, H
  - 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> moments, I, Q
  - 3<sup>rd</sup> and 4<sup>th</sup> Stokes
  - PRF (3.5 kHz) rate



- Sub-banded data, **16 subbands**, every 4 PRIs, (1200 us)
  - V, H
  - 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> moments, I, Q
  - 3<sup>rd</sup> and 4<sup>th</sup> Stokes
  - ¼ PRF (875 Hz)



Time/Frequency diversity: 360 words every ~1ms (packet)



# RFI Movie

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# SMAP Launch: January 31, 2015

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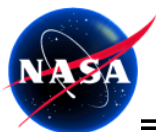
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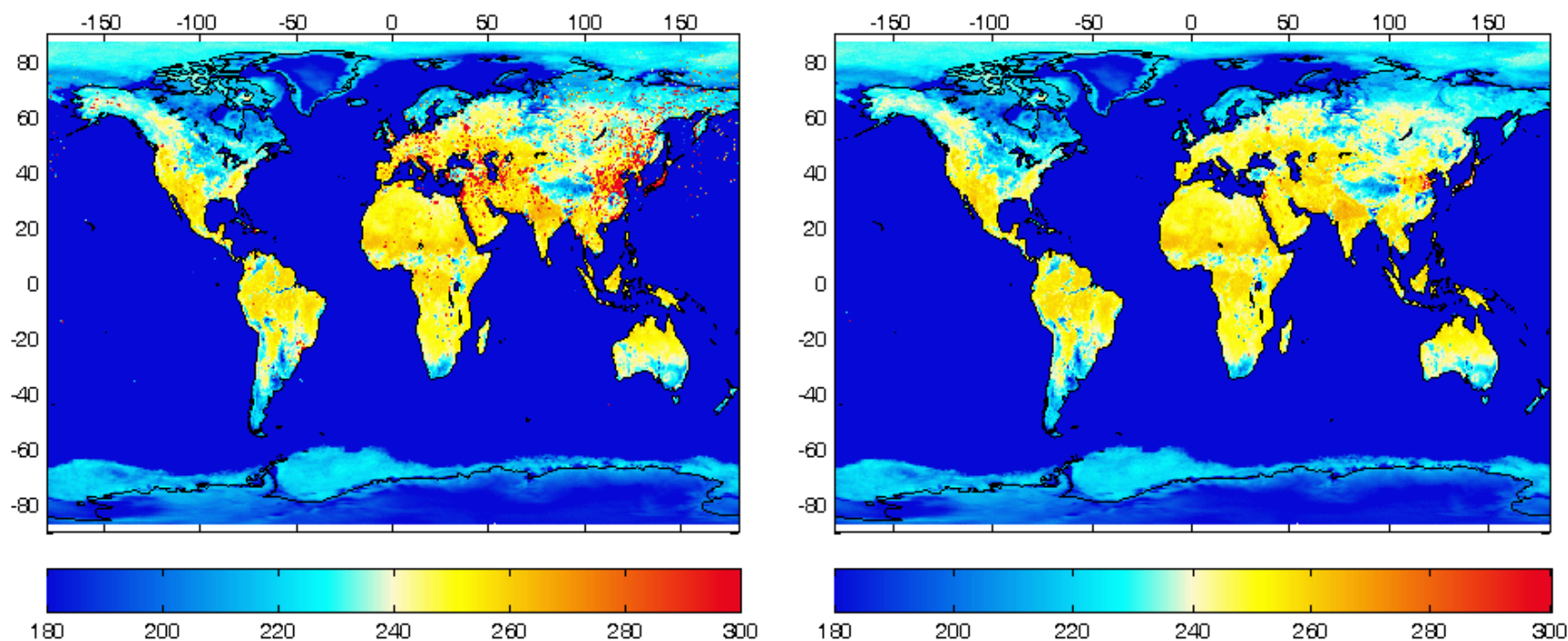
May 24, 2016

Piepmeyer

43

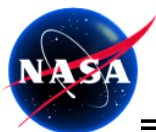


# TA H-pol Before and After RFI Mitigation

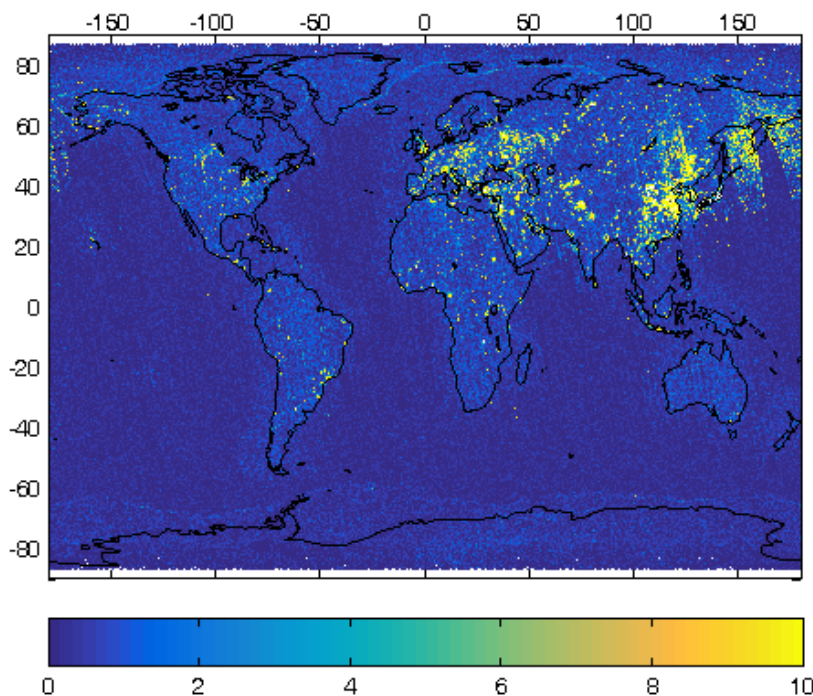


Peak hold data for the period June 3 – 9, 2015,  
before and after RFI detection and mitigation

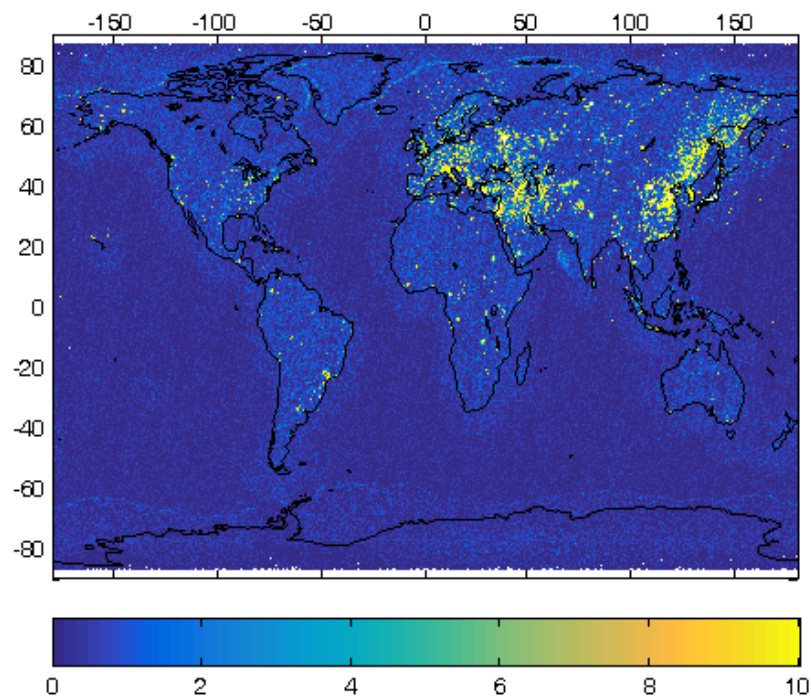
Color scale limited to 300 K to better show RFI  
events



# RFI Level

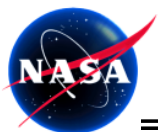


TA H-pol: ascending/fore



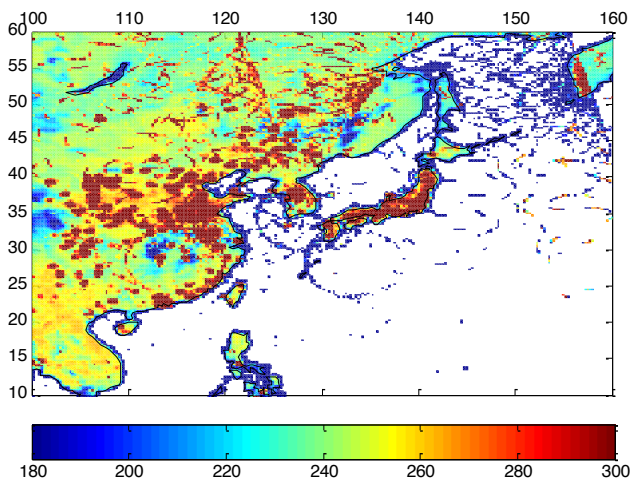
TA H-pol: descending/fore

- 0.25° grid
- June 3-9, 2015
- RFI looks different with time of day and azimuth angle
- Color scale limited to 10 K to better show RFI events

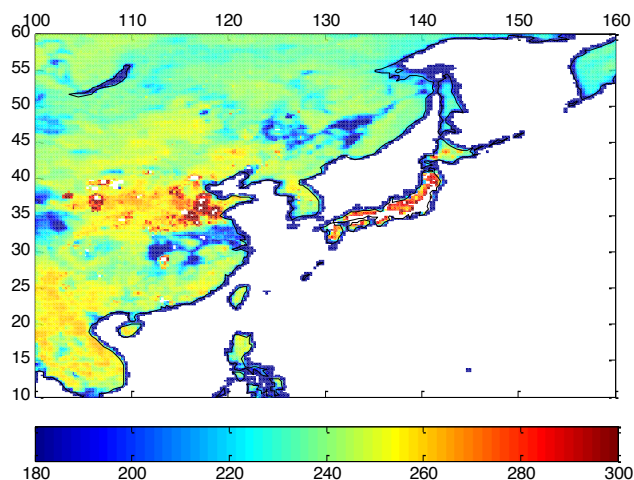


# TA H-pol Asia

TA unfiltered

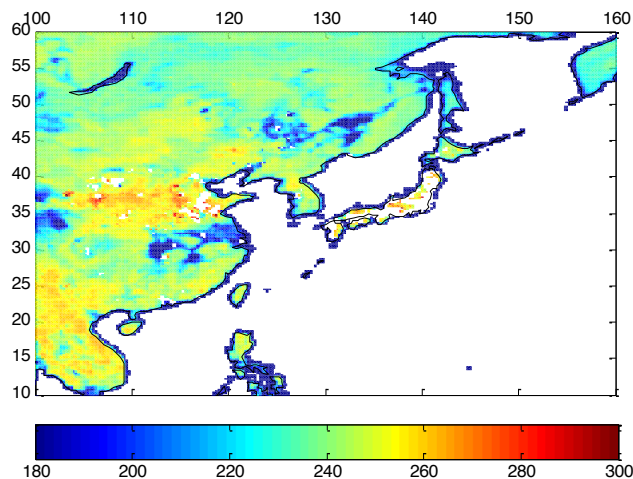


TA filtered

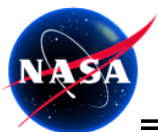


- 0.25° grid
- June 3-9, 2015

TA filtered

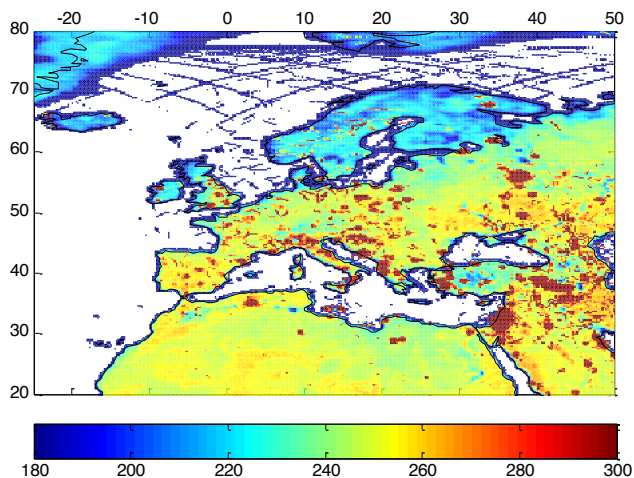


Discarding measurements  
flagged by TB quality flag;  
residual RFI appear to still be in  
product

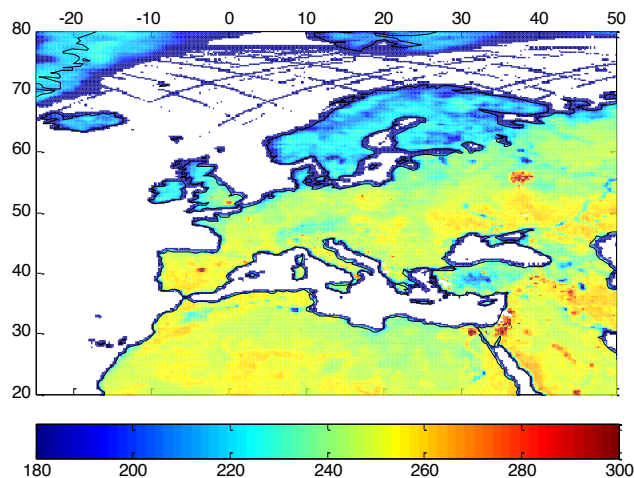


# TA H-pol Europe

TA unfiltered

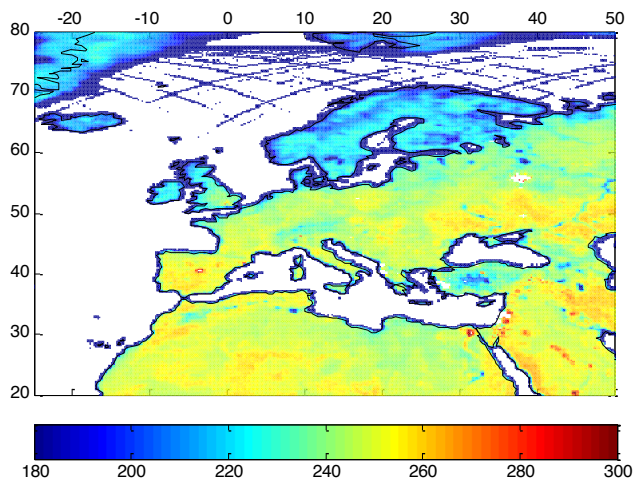


TA filtered



- 0.25° grid
- June 3-9, 2015

TA filtered

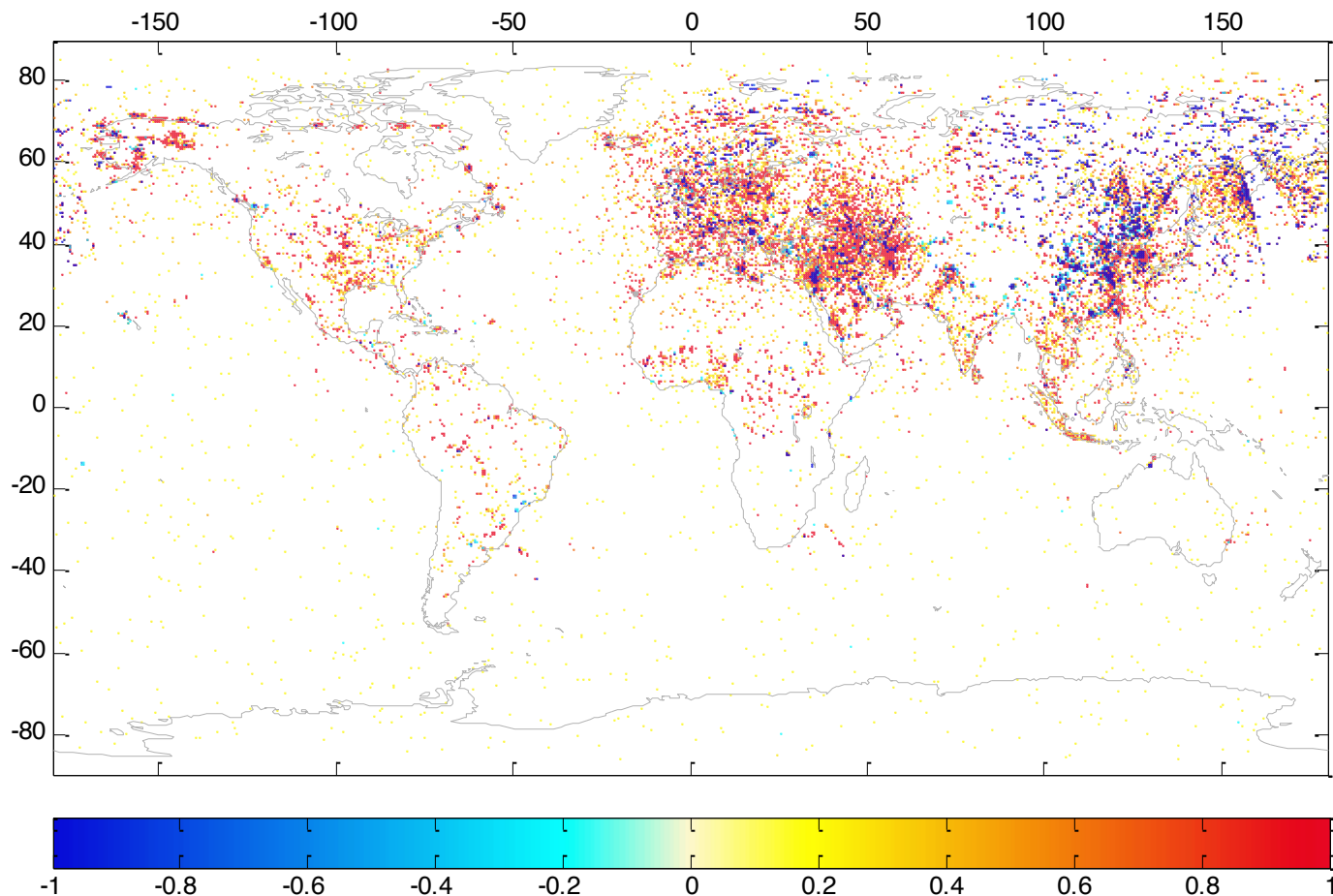


Discarding measurements flagged by TB quality flag; residual RFI appear to still be in product



# Fullband Kurtosis

- 0.25° grid
- June 3-9, 2015



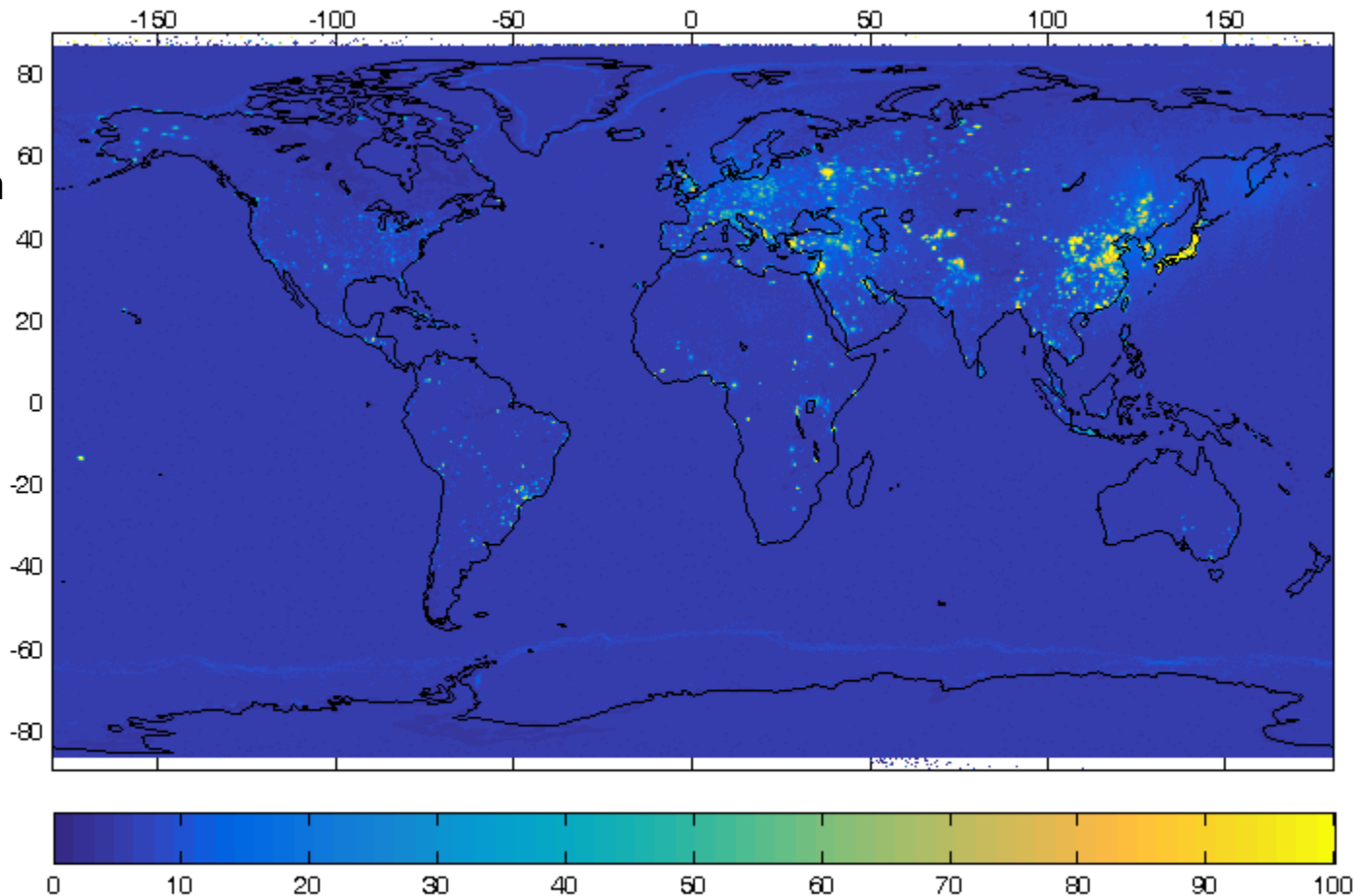
Kurtosis – 3  
Max and Min kurtosis for a week



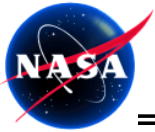


# MAXPD Detection Rate

- 0.25° grid
- Data from April to October 2015



Detection Rate (%)  
Probability of RFI occurrence



# Discussion

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- Spectrum Management works very well to enable scientific use from satellites
- Regulations, however, do not guarantee exclusive access
- Technical solutions offer improvements but are not perfect
- Technology innovation and cooperation with other services are on the path to maintaining and improving the EM environment for scientific passive use

