



# **ICOM 6005 – Database Management Systems Design**

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# Readings

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- Read Chapter 1 of text book

# Why do we Databases?

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- Suppose that you own a bank.
- You need to keep track of information about your costumers and their accounts.
  - Customer personal information
  - Account information
  - Receipts of the transactions performed
- There are several possibilities to accomplish this
  - Do nothing, throw papers in a box.
  - Keep records sorted alphabetically
  - Buy a custom program to manage your information.
  - Get a database system and get a database application to manage your information.

# Option 1: Do nothing ...

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- In this case, you just write all the information about costumers and their account in pieces of papers and then you throw them in a box.
- Advantages
  - Cheap
- Disadvantages
  - Almost impossible to find records
  - Very slow to gather information about your business

## Option 2: Keep records sorted

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- Rather than throwing your paper in a box, you buy a cabinet and keep all records sorted, perhaps alphabetically on the costumer name.
- Advantages
  - Cheap
  - Easier to use
- Disadvantages
  - Too slow to find aggregated information about the bank (e.g. Which are the 10 most active accounts?).
  - Still slow, since human has to find the records.
  - Cabinets take too much space

# Option 3: Buy a custom program

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- Buy a program that uses the file system in a computer to store all the data associated with your bank.
- Advantages:
  - Fast
  - Takes up little space
- Disadvantages:
  - Expensive
  - Difficult to add new features
  - Reliance on expertise of programmers
    - Re-inventing the wheel

# Option 4: Buy a Database System

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- Buy a Database System to organize and analyze all the data items.
- Advantages:
  - Extensibility
  - Reliability
  - Recovery from crash
  - Advanced data analysis tools
  - Take little space
- Disadvantages:
  - Expensive
  - Rely on expertise of programmers

# Database and DBMS

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- A database is a collection of data that describes the inner structure or inner workings of an enterprise.
- A Database Management System (DBMS) is a software system used to maintain the data stored in one or more databases.
- Databases is also meant to convey the area of Computer Science devoted to the study of hardware, software, algorithms, data structures and other techniques required to design and implement a DBMS or an application that use a DBMS.



# Top Database Groups and Products

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- Academia:
  - 1) Stanford University, 2) University of Wisconsin, Madison, 3) University of California, Berkeley, 4) University of Maryland, College Park, 5) University of Washington.
- Research Labs:
  - 1) IBM Almaden Research Lab, 2) AT&T Research Labs, 3) Microsoft Research Lab, 4) Lucent Technologies.
- Database Products:
  - 1) Oracle, 2) IBM DB2, 3) MS Access, 4) Sybase, 5) MS SQL Server, 6) Informix.

# Relational Model

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- Proposed by Codd from IBM in 1970
- Models data as tables (relations) consisting of columns (attributes).
- Each record (tuples) is a row in the table.
- Very rich set of mathematical operations
  - Elegant model
- Schema of the data – description of the tables and columns
  - Table name
  - Attribute names
  - Attribute types

# Modeling data by levels

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- Conceptual Schema
  - Logical description of the data
  - Relationships between data items
  - Includes:
    - Table names
    - Column names and types
    - Integrity constraints
- Physical Schema
  - Deals with the organization and storage of the data within the database
  - Details on how to access the data from disk
  - Includes:
    - Files
    - Indices
    - Data partitioning

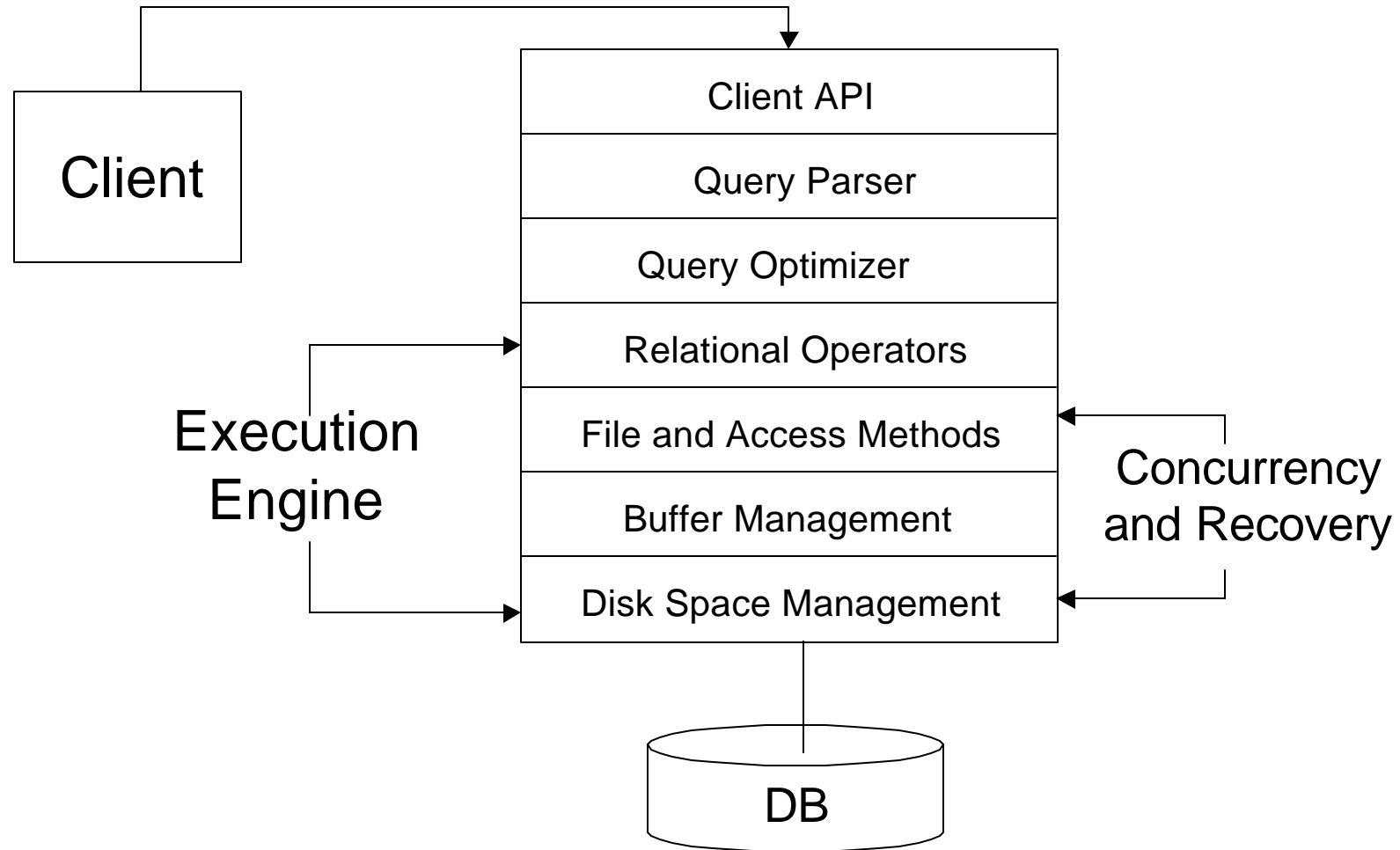
# Modeling data by levels (cont.)

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- External Schema
  - Customized version of the global conceptual schema
  - Allow for tailoring access of data for a particular user(s)
  - Can also be used to prevent unauthorized user from accessing sensitive data.
  - Usually defined by means of views on the conceptual schema

# Relational DBMS Architecture

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# DBMS: Client API

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- The Client API contains the infrastructure necessary to:
  - Accept connection from client applications
  - Submit queries to the DBMS
  - Extract the data from the database
  - Send commands to create/delete records or tables in the database.
  - Start/end operations such as transactions, recovery or backups.
- Examples APIs:
  - JDBC, ODBC (standards)
  - Informix MI API (proprietary)

# DBMS: Query Parser

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- The parser takes care of analyzing the syntax of the commands send to the DBMS via the client API.
- Many parsers, also check some the semantics in the statements, so they are more than just parsers.
- This layer also generates an initial representation of the query that has been posed to the system
- Often the parser fetches metadata from catalog in order to give the next layer some valuable information to perform their task.
- Example: SQL parser found in most DBMS
  - Also, OQL parser found in many Object-Oriented DBMS.

# DBMS: Query Optimizer

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- Often, there are many alternatives to solve a query posed to the system.
- The optimizer takes care of choosing the best alternative to solve the query.
  - Here best is defined as the alternative of lesser cost, where the cost of each alternative might be defined as:
    - Amount of I/O operations
    - Wall-clock time to execute the query
    - System usage time to execute the query
- Optimizer uses dynamic programming search to find best alternative
  - Search by construction
  - Alternative is called query plan and is a tree representing the relational operators to be executed to answer the query.



# DBMS: Relational Operators

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- At this layer, the set of relational operator supported by the DBMS are implemented.
- The most common of the operators are:
  - Selections
  - Simple projections
  - Generalized projections
  - Aggregates
  - Sorting
  - Joins
  - Unions
- An execution plan produced by the optimizer is used to represent the set of operators to be executed.

# DBMS: File and Access Methods

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- At this layer, we find the implementation of the various mechanism available to access the data in each table.
- The basic service in the unordered file, which is also called the heap. This provides a service to access record sequentially and in no particular order.
- Also, we find mechanisms to index the records in a table. Using these indices, we can speed up the execution of query by only reading the necessary records from the database.
- Example indices: B+-tree, Hash-index, ISAM, R-tree.
- Lots of research papers and Ph.D. thesis have been written on this subject.

# DBMS: Buffer Management

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- Data from the Database is read in chunks, often called pages.
- Sometimes, many queries access the same chunks of data.
- The buffer manager takes care of controlling the way in which data pages are read from disk and kept in memory.
- Buffer managers often try to cache frequently used pages, at the expense of less frequently used ones.
- Many systems use pre-fetching algorithms to “guess” the next page(s) that will be accessed, based on the previous requests, and these pages are fetched and cached in memory before being requested.

# DBMS: Disk Space Management

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- This layer provides the abstraction of a page of data from disk..
- This layer provides the infrastructure necessary to create, delete, read and write data pages associated with a database.
- This is the lowest layer in the system, often dealing with issues such as:
  - Fixed-sized vs. variable length records
  - Striping of data pages (partitioning across multiple disk).
  - Memory alignment of data when moved between disk and memory.

# Advantages of a DBMS

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- Data Independence
  - External schema shields from changes in conceptual schema
    - Logical data independence
    - Remove columns, add columns in base tables
  - Conceptual schema shields from changes in physical schema
    - Drop an index
    - Re-partition data over new disks
- Efficient data access
  - Proven, sophisticated data techniques to quickly read/write data
  - No amateur work...

# Advantages of a DBMS (cont.)

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- Data integrity and security
  - Protects data from unauthorized access
  - Enforces certain properties on the data
- Data administration
  - Packages to manage and preserve data in a professional way
  - Theory and practice for professional to do this job
- Concurrency
  - Infrastructure to enforce safe access to the same data items by multiple user without unexpected side-effects.

# Advantages of a DBMS (cont.)

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- Crash Recovery
  - Infrastructure to repair lost or damage data due to system failures:
    - Power failures
    - Media failures (disk crash)
- Reduced application development time
  - Infrastructure to quickly build application that let users interact with their data
  - JDBC, ODBC
  - Forms and other GUIs.

# Database Professionals

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- Database implementors
  - Build modules that go inside the DBMS
  - Students in ICOM 6005\
- Database application developers
  - Build application that run on top of the DBMS and are used by end-users to interact with their data.
  - Students in ICOM 4017
- Database Administrators
  - Create database schema
  - Maintain and tune the DBMS engine
  - Maintain and tune the data in the DBMS
  - Students in ICOM 4017
  - Corporations need them (\$\$\$)