

Aperture Antennas

INEL 5305

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Ref. Balanis Chpt. 12

Aperture Antennas

- Most common at microwave frequencies
- Can be flushed-mounted
- We will analyze radiation characteristics at **far field**
 - Rectangular aperture
 - Circular aperture

Far field is the \mathcal{F} of the near field

- Fourier Transform for 1-D

$$W(k_x) = \int_{-\infty}^{\infty} w(x) e^{jk_x x} dx$$

$$w(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} W(k_x) e^{-jk_x x} dk_x$$

- For two-dimensions, x and y;

$$U(k_x, k_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, y) e^{jk_x x + jk_y y} dx dy$$

$$u(x, y) = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(k_x, k_y) e^{-jk_x x - jk_y y} dk_x dk_y$$

Properties of Fourier Transform

$$\mathcal{F}_t \frac{ds(t)}{dt} = j\omega \mathcal{F}_t s(t)$$

$$\mathcal{F}_x \frac{\partial u(x, y)}{\partial x} = -jk_x \mathcal{F}_x u(x, y)$$

$$\mathcal{F}_x \frac{\partial^2 u(x, y)}{\partial x^2} = (-jk_x)^2 \mathcal{F}_x u(x, y)$$

$$\mathcal{F}_{yx} \frac{\partial^2 u(x, y)}{\partial x^2} = -k_x^2 \mathcal{F}_{yx} u(x, y)$$

$$\nabla^2 \mathbf{E} + k_o^2 \mathbf{E} = 0$$

$$\nabla \cdot \mathbf{E} = 0$$

$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \mathbf{E} + k_o^2 \mathbf{E} = 0$$

$$\left(\frac{\partial E_x(x, y, z)}{\partial x} + \frac{\partial E_y(x, y, z)}{\partial y} + \frac{\partial E_z(x, y, z)}{\partial z} \right) = 0$$

Taking the Fourier transform of the 2 equations above:

$$\frac{\partial^2}{\partial z^2} \mathbf{E}(k_x, k_y, z) + (k_o^2 - k_x^2 - k_y^2) \mathbf{E}(k_x, k_y, z) = 0$$

$$\left(k_x E_x(k_x, k_y, z) + k_y E_y(k_x, k_y, z) + j \frac{\partial E_z(k_x, k_y, z)}{\partial z} \right) = 0$$

Now, we define, $k_z^2 = k_o^2 - k_x^2 - k_y^2$

And we obtain, $\frac{\partial^2 \mathbf{E}(k_x, k_y, z)}{\partial z^2} + k_z^2 \mathbf{E}(k_x, k_y, z) = 0$

Which has a solution of $\mathbf{E}(k_x, k_y, z) = \mathbf{f}(k_x, k_y) e^{-jk_z z}$

Then we take the inverse transform

$$\mathbf{E}(x, y, z) = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \mathbf{f}(k_x, k_y) e^{-j\mathbf{k} \cdot \mathbf{r}} dk_x dk_y$$

If $z=0$, then, we are at the aperture

$$\mathbf{E}_a(x, y) = \mathbf{E}_{\text{tan}}(x, y, 0) = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \mathbf{f}(k_x, k_y) e^{-jk_x x - jk_y y} dk_x dk_y$$

Which looks like:

$$u(x, y) = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(k_x, k_y) e^{-jk_x x - jk_y y} dk_x dk_y$$

Which is the inverse of F...

This is the Fourier transform for 2 dimensions, so:

$$U(k_x, k_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u(x, y) e^{jk_x x + jk_y y} dx dy$$

$$\mathbf{f}_t(k_x, k_y) = \iint_{S_a} \mathbf{E}_a(x, y) e^{jk_x x + jk_y y} dx dy$$

It can be shown that,

$$\mathbf{E}(r) \approx \frac{jk_o \cos \theta}{2\pi r} e^{-jk_o r} \mathbf{f}_t(k_o a \cos \theta \cos \phi, k_o b \sin \theta \sin \phi)$$

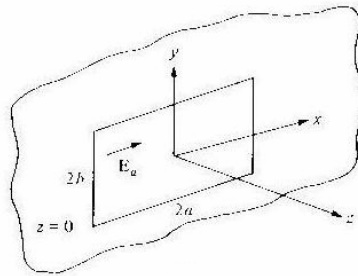
Therefore, if we know the field at the aperture, we can use these equations to find $\mathbf{E}(r)$.

=>First, we'll look at the case when the illumination at the rectangular aperture it's **uniform**.

Uniformly illuminated rectangular aperture

$$\mathbf{E}_a(x, y) = E_o \mathbf{x} \quad \text{for } |x| \leq a \quad |y| \leq b$$

$$= 0 \quad \text{elsewhere}$$



$$\mathbf{f}_t = E_o \mathbf{x} \int_{-a}^a \int_{-b}^b e^{jk_x x + jk_y y} dx dy$$

$$= 4abE_o \mathbf{x} \frac{\sin k_x a}{k_x a} \frac{\sin k_y b}{k_y b}$$

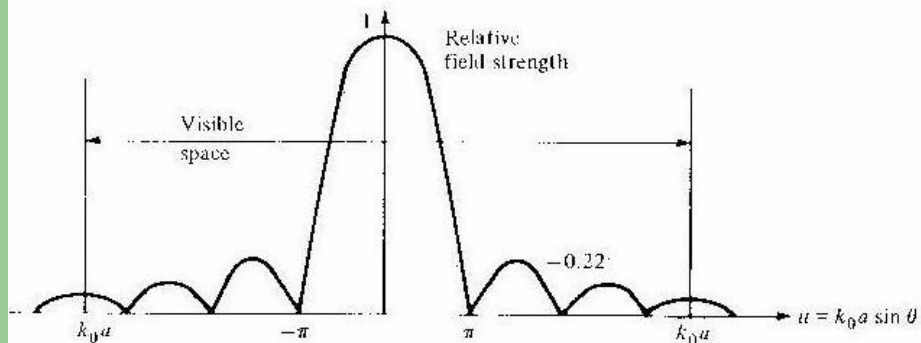
$$= 4abE_o \mathbf{x} \frac{\sin(k_o a \sin \theta \cos \phi)}{k_o a \sin \theta \cos \phi} \frac{\sin(k_o b \sin \theta \sin \phi)}{k_o b \sin \theta \sin \phi}$$

$$= 4abE_o \mathbf{x} \frac{\sin u}{u} \frac{\sin v}{v}$$

$$\mathbf{E}(r) = \frac{jk_o 4abE_o}{2\pi r} e^{-jk_o r} \frac{\sin u}{u} \frac{\sin v}{v} (\hat{\theta} \sin \phi - \hat{\phi} \cos \phi \cos \theta)$$

*Note: in Balanis book, the aperture is axb , so no 4 factor on the eq. above.

How does this pattern looks...



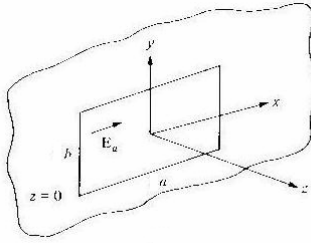
$$u = k_o a \sin \theta \cos \phi$$

$$v = k_o b \sin \theta \sin \phi$$

TE₁₀ illuminated rectangular aperture

$$\mathbf{E}_a(x, y) = E_o \cos\left(\frac{\pi x'}{a}\right) \hat{y} \quad \text{for} \quad \begin{array}{l} -a/2 \leq x' \leq a/2 \\ -b/2 \leq y' \leq b/2 \end{array}$$

$$= 0 \quad \text{elsewhere}$$



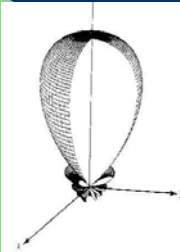
$$\mathbf{f}_t = E_o \hat{y} \int_{-a-b}^a \int_{-a-b}^b \cos\left(\frac{\pi x}{a}\right) e^{jk_x x + jk_y y} dx dy$$

$$X = \frac{u}{2} \quad u = k_o a \sin \theta \cos \phi$$

$$Y = \frac{v}{2} \quad v = k_o b \sin \theta \sin \phi$$

$$\mathbf{E}(r) = \frac{-jk_o ab E_o e^{-jk_o r}}{4r} \frac{\cos X}{X^2 - \left(\frac{\pi}{2}\right)^2} \frac{\sin Y}{Y} (\hat{\theta} \sin \phi - \hat{\phi} \cos \phi \cos \theta)$$

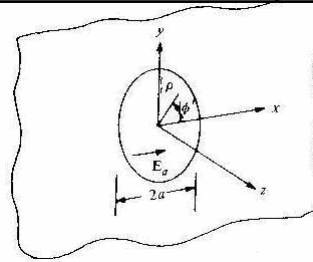
Rectangular Aperture: Directivity



$$D_o = \epsilon_{ap} ab \left(\frac{4\pi}{\lambda^2} \right)$$

- For TE₁₀ illuminated Rectangular Aperture the aperture efficiency is around 81%.
- For the uniform illumination, is 100% but in practice difficult to implement uniform illumination.

Circular Aperture



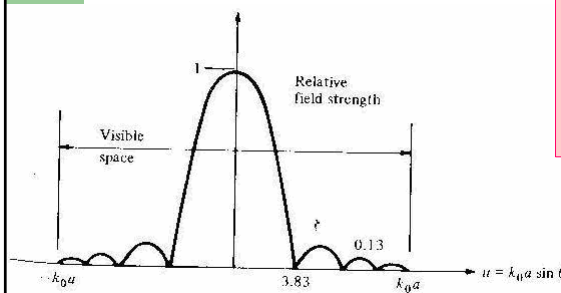
(Uniform illumination)

- In this case we use cylindrical coordinates

$$\mathbf{f}_t = E_o \mathbf{x} \int_0^a \int_0^{2\pi} e^{jk_o \sin \theta \cos(\phi - \phi')} \rho d\phi' d\rho$$

$$= 2\pi a^2 E_o \mathbf{x} \frac{J_1(k_o a \sin \theta)}{k_o a \sin \theta}$$

Circular Aperture w/ uniform illumination



$$D_o = \epsilon_{ap} \left(\frac{C}{\lambda} \right)^2$$

- For TE₁₁ illuminated Circular Aperture the aperture efficiency is around 84%.
- For the uniform illumination, is 100% but in practice difficult to implement uniformity